

Hine C Clarkson  
Form 3  
October 06, 2011

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Hine C Clarkson

(Last) (First) (Middle)

BEAM INC.,Â 510 LAKE  
COOK ROAD

(Street)

DEERFIELD,Â ILÂ 60015

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/03/2011

3. Issuer Name and Ticker or Trading Symbol  
BEAM INC [NYSE: BEAM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
SVP Corp Comm & Public Affairs

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Beam Inc. Common Stock

10,570

D

Â

Beam Inc. Common Stock

2,051

I

Through Beam Inc. Retirement Savings Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(2)</sup>	09/23/2012	Beam Inc. Common Stock	15,743	\$ 46.78	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(3)</sup>	09/29/2013	Beam Inc. Common Stock	15,743	\$ 54.75	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(4)</sup>	09/28/2014	Beam Inc. Common Stock	15,743	\$ 68.89	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(5)</sup>	09/27/2012	Beam Inc. Common Stock	13,500	\$ 82.16	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(6)</sup>	09/26/2013	Beam Inc. Common Stock	13,500	\$ 74.39	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(7)</sup>	09/24/2014	Beam Inc. Common Stock	8,300	\$ 80.95	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(8)</sup>	09/29/2015	Beam Inc. Common Stock	12,300	\$ 57.01	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(9)</sup>	09/30/2016	Beam Inc. Common Stock	8,200	\$ 42.98	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(10)</sup>	02/22/2017	Beam Inc. Common Stock	8,000	\$ 43.67	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(11)</sup>	02/22/2021	Beam Inc. Common Stock	7,000	\$ 61.54	D	Â
Restricted Stock Unit <sup>(1)</sup>	Â <sup>(12)</sup>	Â <sup>(12)</sup>	Beam Inc. Common Stock	3,200	\$ <sup>(13)</sup>	D	Â
Restricted Stock Unit <sup>(1)</sup>	Â <sup>(14)</sup>	Â <sup>(14)</sup>	Beam Inc. Common Stock	2,400	\$ <sup>(13)</sup>	D	Â
Restricted Stock Unit <sup>(1)</sup>	Â <sup>(15)</sup>	Â <sup>(15)</sup>	Beam Inc. Common Stock	2,600	\$ <sup>(13)</sup>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hine C Clarkson BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015	Â	Â	Â SVP Corp Comm & Public Affairs	Â

## Signatures

Todd M. Bloomquist, as Attorney-in-Fact for C. Clarkson  
Hine 10/06/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28, 2011.
- (1) The options vested in three equal annual installments beginning on September 23, 2003.
  - (3) The options vested in three equal annual installments beginning on September 29, 2004.
  - (4) The options vested in three equal annual installments beginning on September 28, 2005.
  - (5) The options vested in three equal annual installments beginning on September 27, 2006.
  - (6) The options vested in three equal annual installments beginning on September 26, 2007.
  - (7) The options vested in three equal annual installments beginning on September 24, 2008.
  - (8) The options vested in three equal annual installments beginning on September 29, 2009.
  - (9) The options vest in three equal annual installments beginning on September 30, 2010.
  - (10) The options vest in three equal annual installments beginning on February 22, 2011.
  - (11) The options vest in three equal annual installments beginning on February 22, 2012.
  - (12) Payment of the award will be made on or about January 31, 2012 if Mr. Hine remains employed through such date, subject to special provisions in the event of death, disability or termination following a change in control.
  - (13) Each restricted stock unit represents a contingent right to receive one share of Beam Inc. common stock.
  - (14) Payment of the award will be made on or about January 31, 2013 if Mr. Hine remains employed through such date, subject to special provisions in the event of death, disability or termination following a change in control.
  - (15) Payment of the award will be made on or about January 31, 2014 if Mr. Hine remains employed through such date, subject to special provisions in the event of death, disability or termination following a change in control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.