

AXCELIS TECHNOLOGIES INC

Form 3

November 14, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

A Lawson Douglas A.

(Last) (First) (Middle)

C/O AXCELIS  
TECHNOLOGIES, INC., A 108  
CHERRY HILL DRIVE

(Street)

BEVERLY, A MA A 01915

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

11/13/2013

3. Issuer Name and Ticker or Trading Symbol

AXCELIS TECHNOLOGIES INC [ACLS]

4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner

\_X\_ Officer \_\_\_ Other

(give title below) (specify below)

EVP, Corporate Mktg &amp; Strategy

6. Individual or Joint/Group

Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting  
Person\_\_\_ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

10,098

D

A

Common Stock

7,500

I

By spouse

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative  
Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security4. Conversion  
or Exercise5. Ownership  
Form of6. Nature of Indirect  
Beneficial  
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock option (right to buy) <sup>(1)</sup>	01/15/2014 <sup>(2)</sup>	01/15/2020	Common Stock	12,500	\$ 1.59	D	Â
Stock option (right to buy) <sup>(1)</sup>	07/15/2014 <sup>(3)</sup>	07/15/2020	Common Stock	65,000	\$ 1.6	D	Â
Stock option (right to buy) <sup>(1)</sup>	07/15/2015 <sup>(4)</sup>	07/15/2021	Common Stock	40,000	\$ 1.6	D	Â
Stock option (right to buy) <sup>(5)</sup>	07/16/2016 <sup>(6)</sup>	07/16/2019	Common Stock	37,500	\$ 0.93	D	Â
Stock option (right to buy) <sup>(5)</sup>	07/15/2017 <sup>(7)</sup>	07/15/2020	Common Stock	75,000	\$ 1.99	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lawson Douglas A. C/O AXCELIS TECHNOLOGIES, INC. 108 CHERRY HILL DRIVE BEVERLY, MA 01915	Â	Â	Â EVP, Corporate Mktg & Strategy	Â

## Signatures

Lynnette C. Fallon, as attorney in fact for Douglas A. Lawson 11/14/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted pursuant to the Axcelis Technologies, Inc. 2000 Stock Plan.

(2) To become exercisable on 1/15/2014.

(3) Exercisable as to 46,250 shares and to become exercisable as to an additional 18,750 on 7/15/2014.

(4) Exercisable as to 20,000 shares and to become exercisable as to an additional 10,000 shares on each of 7/15/2014 and 7/15/2015.

(5) Granted pursuant to the Axcelis Technologies, Inc. 2012 Equity Incentive Plan.

(6) To become exercisable as to 12,500 shares on each of 7/16/2014, 7/16/2015 and 7/16/2016.

(7) To become exercisable as to 18,750 shares on each of 7/15/2014, 7/15/2015, 7/15/2016 and 7/15/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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