Edgar Filing: AXCELIS TECHNOLOGIES INC - Form 4

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|--|---|----------------|---|--|---|---------------------------------------|---|-----------------------------------|--|---|--|------------|
| AXCELIS TE Form 4 July 17, 2014 | CHNOLOGIES | S INC | | | | | | | | | | |
| FORM | Δ | | | | | | | | | | APPROV | AL |
| | UNITED | STATES | SECURITIES AND EXCHANGE Washington, D.C. 20549 | | | | | NGE | COMMISSIO | N OMB Number: | | 5-0287 |
| Check this if no longe subject to Section 16. Form 4 or | IENT OF | F CHAN | NGES IN SECUI | Estimated burden ho | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | | | | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | 0.0 | |
| (Print or Type Re | sponses) | | | | | | | | | | | |
| 1. Name and Ad ALDEBORG | 2. Issuer Name and Ticker or Trading Symbol AXCELIS TECHNOLOGIES INC | | | | | - | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| | | | [ACLS] | | | | | | (check an applicable) | | | |
| (Last) 108 CHERRY | 3. Date of Earliest Transaction (Month/Day/Year) 07/15/2014 | | | | Director 10% Owner XOfficer (give title Other (specify below) below) EVP, Customer Operations | | | | | | | |
| | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | | |
| BEVERLY, N | MA 01915 | | | | | | | | Person | More than One | Reporting | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Der | ivative | Securit | ties A | cquired, Disposed | of, or Benefici | ally Own | ed |
| | Transaction Date Month/Day/Year) | Execution any | Date, if | 3. Transactio Code (Instr. 8) Code V | onAc Di (Ir | sposed nstr. 3, 4 | (A) or of (D) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirec (I) (Instr. 4) | 7. Natur Indirect Benefic Owners (Instr. 4 | ial hip |
| Reminder: Repor | t on a separate line | e for each cla | ass of sec | | | ally own Perso inform requir | ned dire ns who nation ed to r ys a c | ctly o o res conta cespo | r indirectly. pond to the colle ained in this forn nd unless the fo itly valid OMB co | n are not rm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|----------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securitie |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8 | 3) | Acquired (A Disposed o (Instr. 3, 4, 5) | f (D) | | | | |
|--------------------------------------|------------------------------------|------------|------------------|--------------|----|--|-------|-----------------------|--------------------|-----------------|------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amou Numb Shares |
| Stock option (right to buy) | \$ 1.8 | 07/15/2014 | | A <u>(1)</u> | | 200,000 | | 07/15/2018 <u>(2)</u> | 07/15/2021 | Common Stock | 200,0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | | |
|--|---------------|------------|------------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| ALDEBORGH JOHN E | | | EVP, | | | | | | |
| 108 CHERRY HILL DRIVE | | | Customer | | | | | | |
| BEVERLY, MA 01915 | | | Operations | | | | | | |
| Signatures | | | | | | | | | |
| Lynnette C. Fallon, as attorney in Aldeborgh | n E. | 07/16/2014 | | | | | | | |
| <u>**</u> Signature of Reporting | | Date | | | | | | | |
| | | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to the Axcelis Technologies, Inc. 2012 Equity Incentive Plan.
- (2) These options will vest as to 25% of the shares on each of July 15, 2015, July 15, 2016, July 15, 2017 and July 15, 2018, if the officer remains in the service of the Company on such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.