#### Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

#### FLOTEK INDUSTRIES INC/CN/

(Street)

Form 4

November 24, 2014

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **CHISHOLM JOHN** Issuer Symbol FLOTEK INDUSTRIES INC/CN/ (Check all applicable) [FTK] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Other (specify X\_ Officer (give title (Month/Day/Year) below) 10603 W. SAM HOUSTON 11/21/2014 Chairman, President and CEO PARKWAY N., SUITE 300

HOUSTON, TX 77064	
-------------------	--

o. marviduai or John Group Phing (Check
Applicable Line)
_X_ Form filed by One Reporting Person
Form filed by More than One Reporting
Person

6 Individual or Joint/Group Filing(Check

						_			
(City)	(State)	(Zip) Ta	ble I - Non	-Derivative	Secu	rities Acqui	red, Disposed of	, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securition Dispose (Instr. 3, 4)  Amount	ed of (	D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/21/2014		S	50,000 (1)	D	\$ 23.0942	325,469	D	
Common Stock							20,470	I	ProTechnicsII

4. If Amendment, Date Original

Filed(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and	Securities	8. P Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 2.3					12/23/2004	12/22/2014	Common Stock	332	
Stock Option	\$ 9.4					12/22/2005	12/21/2015	Common Stock	20,000	
Stock Option	\$ 2.51					02/16/2009	02/16/2015	Common Stock	26,668	
Stock Option	\$ 1.02					12/22/2009	12/22/2015	Common Stock	400,000	
Stock Option	\$ 2.17					11/10/2010	11/10/2016	Common Stock	300,000	
Stock Option	\$ 9.19					04/08/2011	04/08/2017	Common stock	400,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Topolonia o nato i mano, i manoso	Director	10% Owner	Officer	Other		
CHISHOLM JOHN 10603 W. SAM HOUSTON PARKWAY N. SUITE 300 HOUSTON, TX 77064	X		Chairman, President and CEO			

# **Signatures**

/S/ John W. Chisholm	11/24/2014
**Signature of Reporting Person	Date

Reporting Owners 2

### Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale of 50,000 shares on the open market at an average price of \$23.0942 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.