E TRADE FINANCIAL CORP Form 8-K September 18, 2007 Table of Contents

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

**CURRENT REPORT** 

PURSUANT TO SECTION 13 OR 15(D) OF THE

**SECURITIES AND EXCHANGE ACT OF 1934** 

Date of report: September 17, 2007

(Date of earliest event reported)

# E\*TRADE FINANCIAL CORPORATION

(Exact name of registrant as specified in charter)

**Delaware** (State or other jurisdiction

1-11921 (Commission File Number) 94-2844166 (I.R.S. Employer

of incorporation)

135 East 57th Street

**Identification No.**)

New York, New York 10022

(Address of principal executive offices and zip code)

Registrant s telephone number, including area code: (646) 521-4300

### Edgar Filing: E TRADE FINANCIAL CORP - Form 8-K

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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#### Item 7.01 Regulation FD Disclosure.

On September 17, 2007, E\*TRADE Financial Corporation issued a press release, a copy of which is attached hereto as Exhibit 99.1, together with the supplemental information attached hereto as Exhibits 99.2 and 99.3, all of which are incorporated herein by reference.

The information furnished in this Item 7.01 and in Exhibits 99.1, 99.2 and 99.3 shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section.

#### **Item 9.01 Financial Statements and Exhibits**

#### (d) EXHIBITS

Number	Description
99.1	Press Release dated September 17, 2007
99.2	Supplemental Portfolio Disclosure (all data as of August 31, 2007)
99.3	Business Update dated September 17, 2007

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

#### E\*TRADE FINANCIAL CORPORATION

Dated: September 17, 2007 By: /s/ Arlen W. Gelbard

Arlen W. Gelbard

Chief Administrative Officer and General Counsel

#### EXHIBIT INDEX

Exhibit No. 99.1	<b>Description</b> Press Release dated September 17, 2007
99.2	Supplemental Portfolio Disclosure (all data as of August 31, 2007)
99.3	Business Update dated September 17, 2007