Edgar Filing: HARMONIC INC - Form 8-K

HARMONIC INC Form 8-K July 23, 2013 Table of Contents

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 8-K

**Current Report** 

**Pursuant to Section 13 or 15(d)** 

of the Securities Exchange Act of 1934

July 23, 2013

**Date of Report (Date of earliest event reported)** 

# HARMONIC INC.

(Exact name of Registrant as specified in its charter)

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Delaware	000-25826	77-0201147
(State or other jurisdiction of	Commission	(I.R.S. Employer
incorporation or organization)	File Number 4300 North First Street	Identification Number)
	San Jose, CA 95134	
	(408) 542-2500	

 $(Address, including\ zip\ code,\ and\ telephone\ number, including\ area\ code,\ of\ Registrant\ s\ principal\ executive\ offices)$ 

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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#### Item 2.02. Results of Operations and Financial Condition.

On July 23, 2013, Harmonic Inc. (Harmonic) issued a press release regarding its preliminary unaudited financial results for the quarter ended June 28, 2013. In the press release, Harmonic also announced that it would be holding a conference call on July 23, 2013 to discuss its financial results for the quarter ended June 28, 2013. A copy of the press release is furnished as Exhibit 99.1 hereto, and the information in Exhibit 99.1 is incorporated herein by reference.

The information in this Current Report on Form 8-K and the exhibit attached hereto is being furnished and shall not be deemed filed for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended, (the Exchange Act ), or otherwise subject to the liabilities of that Section, and this Current Report on Form 8-K and the exhibit furnished herewith shall not be incorporated by reference into any filing by Harmonic under the Securities Act of 1933, as amended, or under the Exchange Act.

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

Exhibit

Number Description

99.1 Press release of Harmonic Inc., issued on July 23, 2013

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#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: July 23, 2013 HARMONIC INC.

By: /s/ Carolyn V. Aver Carolyn V. Aver Chief Financial Officer

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#### EXHIBIT INDEX

Exhibit

Number Description

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