**HUMANA INC** Form 144 February 21, 2017

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# **UNITED STATES**

SECURITIES AND EXCHANGE COMMISSION

SEC USE ONLY

Washington, D.C. 20549

DOCUMENT SEQUENCE NO.

**FORM 144** 

**CUSIP NUMBER** 

**WORK LOCATION** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

**ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1(a) NAME OF ISSUER (Please type or print)

(b) IRS IDENT. NO. (c) S.E.C. FILE NO.

Humana Inc.

**STREET** 

61-0647538

1-5975

1(d) ADDRESS OF ISSUER

CITY

STATE

ZIP CODE (e) TELEPHONE

500 West Main Street Louisville

AREA CODE NUMBER

KY

40202

580-1000 502

2(a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD

(b) RELATIONSHIP TO: AND AND RESSSTARTET ZIP CODE

**ISSUER** 

Jody L. Bilney

SVP & Chief

5000 Ws v Mkein StrkeY

40202

Consumer Officer

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. identification Number and the S.E.C. File Number.

SEC

3( <i>a</i> )	(b)	USE ONL	,	, ,	(e)	<i>(f)</i>	<i>(g)</i>	
Title of the		Nun	nber of S	hares Num	ber of S	hare approximate	Name of Each	
Class of			or Other	or	Other U	nits Date of Sale	Securities	
Securitiesame and Address of Each Br Through Whom		roker	Units	Aggrega	Exchange			
To Be Sold			To Be	Market	(See	(MO. DAY YR.)	(See instr. $3(g)$ )	
	the Securities Are		Sold	Value	Instr.			
To Be Offered or Each Marker-Dealer $3(e)$								
			(See					
	Maker who is	File	Instr. 3					
	Acquiring the Securities	s Number	(c)) (S	See Instr. 3(	(d))			
Common	Charles Schwab & Co.,		6,087\$	51,249,8131	<b>98</b> ,498,4	07 02/21/2017	NYSE	
	Inc.		as of					
			based on FMVI63n1/2017					
	9899 Schwab Way							
	<b>,</b>	02/17/2017						
	Lone Tree, CO 80124		of					
				\$205.3250	)			

# **INSTRUCTIONS:**

- 1. (a) Name of Issuer
  - (b) Issuer s I.R.S. Identification Number
  - (c) Issuer s S.E.C. file number, if any
  - (d) Issuer s address, including zip code
  - (e) Issuer s telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person s address, including zip code
- 3. (a) Title of the class of securities to be sold
  - (b) Name and Address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

#### TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor.

Title of Date you Name of the Person from Whom Acquired Date of Nature of Amount of the Class Acquired Nature of Acquisition Transactivity, also give date donor acquired vities Acquired ayment **Payment** 

Common 02/18/2017

Restricted Stock

Issuer

6.087

N/A

N/A

**INSTRUCTIONS:** If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

# TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Amount of

Title of Securities Sold Securities Sold **Gross Proceeds** Seller Date of Sale

None

**REMARKS:** 

# **INSTRUCTIONS:**

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

# **ATTENTION:**

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the issuer of the securities to be sold which has not been publicly disclosed. If each person has adopted a written trading plan or given trading instructions to satisfy

Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

2/21/2017

/s/ Jody L. Bilney

DATE OF NOTICE

(SIGNATURE)

DATE OF PLAN OR GIVING OF INSTRUCTION,
IF RELYING ON RULE 10B5-1

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)