

Steris plc  
Form 4  
August 18, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rosebrough Walter M Jr

(Last) (First) (Middle)

C/O CHANCERY HOUSE, 190  
WATERSIDE ROAD, HAMILTON  
INDUSTRIAL PARK

(Street)

LEISCETER, X0 LE5 1QZ

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
Steris plc [STE]

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/16/2016

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
President & CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares, 0.10 Nominal Value	08/16/2016		S	5,000 (1)	D \$ 71.3809 115,924 (3)	D	
Ordinary Shares, 0.10 Nominal Value	08/16/2016		S	5,000 (4)	D \$ 71.3844 5,000	I	See Footnote Below (6)
	08/17/2016		S		D 0	I	

# Edgar Filing: Steris plc - Form 4

Ordinary Shares, 0.10 Nominal Value	5,000 <u>(7)</u>	\$ 70.4779 <u>(8)</u>	See Footnote Below <u>(6)</u>
Ordinary Shares, 0.10 Nominal Value		68,806 I	See Footnote Below. <u>(9)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Rosebrough Walter M Jr C/O CHANCERY HOUSE, 190 WATERSIDE ROAD HAMILTON INDUSTRIAL PARK LEISCESTER, X0 LE5 1QZ	X President & CEO

## Signatures

/s/ Ronald E. Snyder, Authorized Representative under Power of Attorney

08/18/2016

                    \*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction involved the open market sale on August 16, 2016 of a total of 5,000 shares, held by the Reporting Person as Trustee of a revocable trust established for his benefit, pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person, as Trustee, effective on September 1, 2015.

(2) This represents the average sales price of the 5,000 shares sold. The actual 27 sales prices ranged from \$71.07 per share to \$71.70 per share. Issuer, upon request by the SEC staff, will provide full information regarding the number of shares sold at each of these 27 sales prices.

(3) These 115,924 ordinary shares are held by the Reporting Person as Trustee of a revocable trust established for his benefit. 44,349 of these Ordinary Shares are restricted. The restrictions on the restricted Ordinary Shares lapse as follows: 6,250 on May 31, 2017; 4,250 on May 30, 2017; 4,250 on May 30, 2018; 3,625 on May 29, 2017; 3,625 on May 28, 2018; 3,625 on May 28, 2019; 4,681 on June 1, 2017; 4,681 on June 1, 2018; 4,681 on June 3, 2019; and 4,681 on June 1, 2020.

(4) This transaction involved the open market sale on August 16, 2016 of a total of 5,000 shares, held by the Reporting Person as Trustee, from an irrevocable trust established for the benefit of the children of the Reporting Person and the Reporting Person's Spouse, pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person, as Trustee, effective on September 1, 2015.

(5) This represents the average sales price of the 5,000 shares sold. The actual 30 sales prices ranged from \$71.07 per share to \$71.70 per share. Issuer, upon request by the SEC staff, will provide full information regarding the number of shares sold at each of these 30 sales prices.

(6) These ordinary shares are held by the Reporting Person as Trustee of an irrevocable trust established for the benefit of the children of the Reporting Person and the Reporting Person's Spouse.

(7) This transaction involved the open market sale on August 17, 2016 of a total of 5,000 shares, held by the Reporting Person as Trustee, from an irrevocable trust established for the benefit of the children of the Reporting Person and the Reporting Person's Spouse, pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person, as Trustee, effective on September 1, 2015.

(8) This represents the average sales price of the 5,000 shares sold. The actual 26 sales prices ranged from \$70.31 per share to \$70.99 per share. Issuer, upon request by the SEC staff, will provide full information regarding the number of shares sold at each of these 26 sales prices.

(9) These ordinary shares are held by the Reporting Person's Spouse as Trustee of a revocable trust established for her benefit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.