

MAXLINEAR INC  
Form 4  
December 23, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**REDDY MADHUKAR**

(Last) (First) (Middle)

C/O MAXLINEAR, INC., 5966 LA PLACE COURT, SUITE 100

(Street)

CARLSBAD, CA 92008

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**MAXLINEAR INC [MXL]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**12/12/2016**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

VP, Central Engineering

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Code V Amount (D) Price   |  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|

Edgar Filing: MAXLINEAR INC - Form 4

| (Instr. 3)   | Price of Derivative Security | (Month/Day/Year) | (Instr. 8)  | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Date Exercisable | Expiration Date | Title  |
|--|------------------------------|------------------|-------------|--|------------------|-----------------|--|
|  |                              |                  | Code        | V (A) (D)  |                  |                 |  |
| Class B Common Stock (convertible into Class A Common Stock) | <u>(1)</u>                   | 12/12/2016       | <u>G(2)</u> | V 1,300  | <u>(1)</u>       | <u>(1)</u>      | Class A Common Stock (\$0.0001 par value)                    |
| Class B Common Stock (convertible into Class A Common Stock) | <u>(1)</u>                   | 12/12/2016       | <u>G(2)</u> | V 1,300  | <u>(1)</u>       | <u>(1)</u>      | Class A Common Stock (\$0.0001 par value)                    |
| Class B Common Stock (convertible into Class A Common Stock) | <u>(1)</u>                   | 12/12/2016       | <u>G(4)</u> | V 1,300  | <u>(1)</u>       | <u>(1)</u>      | Class A Common Stock (\$0.0001 par value)                    |
| Class B Common Stock (convertible into Class A Common Stock) | <u>(1)</u>                   | 12/12/2016       | <u>G(4)</u> | V 1,300  | <u>(1)</u>       | <u>(1)</u>      | Class A Common Stock (\$0.0001 par value)                    |
| Employee Stock Option (right to buy)                         | \$ 1.1613                    | 12/22/2016       | M           | 5,000  | <u>(6)</u>       | 08/07/2017      | Class B Common Stock (convertible into Class A Common Stock) |
| Class B Common Stock (convertible into Class A Common Stock) | <u>(1)</u>                   | 12/22/2016       | M           | 5,000  | <u>(1)</u>       | <u>(1)</u>      | Class A Common Stock (\$0.0001 par value)                    |

Common  
Stock)

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| REDDY MADHUKAR<br>C/O MAXLINEAR, INC.<br>5966 LA PLACE COURT, SUITE 100<br>CARLSBAD, CA 92008 |               |           | VP, Central Engineering |       |

## Signatures

/s/ Adam Spice, as  
Attorney-in-Fact

12/23/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Each share of Class B Common Stock is convertible into one share of Class A Common Stock, which is the publicly traded stock, upon certain transfers and at the option of the holder. On the seventh anniversary of the closing of the Registrant's initial public offering (March 29, 2017), the Class B Common Stock and the Class A Common Stock will automatically convert into a single class of Common Stock.
- (1) Represents a transfer of shares for no consideration from the Reporting Person to Madhukar Reddy Cust Anavi Maram Reddy UTMA, for which the Reporting Person serves as custodian.
  - (2) Shares held by Madhukar Reddy Cust Anavi Maram Reddy UTMA, for which the Reporting Person serves as custodian.
  - (3) Represents a transfer of shares for no consideration from the Reporting Person to Madhukar Reddy Cust Arnav Maram Reddy UTMA, for which the Reporting Person serves as custodian.
  - (4) Shares held by Madhukar Reddy Cust Arnav Maram Reddy UTMA, for which the Reporting Person serves as custodian.
  - (5) The shares subject to this option became fully vested and exercisable on August 8, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.