Edgar Filing: MAIDA ANTHONY E III - Form 5

MAIDA ANTHONY E III

Form 5

February 12, 2018

redition 12,	2010										
FORM	5							OMB A	PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB Number:	3235-0362		
Check this box if washington, D.C. 20549 no longer subject							Expires:	January 31, 2005			
to Section Form 4 or 1 5 obligatio may contin	Form ANN ns nue.		TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Estimated average burden hours per response 1.				
See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Form 4 30(h) of the Investment Company Act of 1940 Transactions Reported											
1. Name and Address of Reporting Person * MAIDA ANTHONY E III			2. Issuer Name and Ticker or Trading Symbol SPECTRUM PHARMACEUTICALS INC [SPPI]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (M	Middle) 3. Sta	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)			X Director Officer (give below)		Owner er (specify			
11500 S. EA 240	STERN AVE.,Â										
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Reporting				
							(cnec	ck applicable line)		
HENDERSO	DN, NV 8905	2					_X_ Form Filed by Form Filed by Person	One Reporting P More than One R			
(City)	(State)	(Zip)	Table I - Non-Der	ivative Sec	curities	s Acqu	iired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	4. Securi Acquired Disposed (Instr. 3,	d (A) o d of (D 4 and (A) or)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock, \$0.001 Par Value	02/17/2017	Â	G <u>(1)</u>	6,975		\$0	22,988	D	Â		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Edgar Filing: MAIDA ANTHONY E III - Form 5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									or	
						Date Exercisable	Expiration Date	Title Numb	Number	
									of	
					(A) (D)				Shares	

Of D So

Is Fi

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
MAIDA ANTHONY E III							
11500 S. EASTERN AVE.	λΥ	Â	Â	Â			
SUITE 240	ΑΛ	А	А	Л			
HENDERSON, NV 89052							

Signatures

Kurt A. Gustafson, attorney-in-fact for Anthony E. Maida, III

02/12/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction involved a gift of securities by the reporting person to his three adult children, who do not share the reporting person's household. The reporting person disclaims beneficial ownership of the shares held by his three adult children, and this report should not be deemed an admission that the reporting person is the beneficial owner of his children's shares for purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2