Edgar Filing: Batycky Richard P. - Form 4

| Batycky Rich Form 4 | | | | | | | | | | | |
|---|------------|--|---|---|-----------------|--------------------------------|---|--|--|-------------------------|--|
| February 26, FORM | Л | | SECUD | ITIES AT | | 1 I I I | NCE | COMMISSION | | PPROVAL | |
| Check this | | Washington, D.C. 20549 | | | | | | | | 3235-0287 January 31 | |
| if no long subject to Section 16 Form 4 or Form 5 obligation | Filed p | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | Expires: 200 Estimated average burden hours per response 0. | | |
| may conti See Instru- 1(b). | nue. | | of the Inv | • | U | | | | 11 | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| Batycky Richard P. Symbol | | | | er Name and Ticker or Trading DA THERAPEUTICS INC R] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 3. I (Method (Method (| | | 3. Date of (Month/Da | 3. Date of Earliest Transaction (Month/Day/Year) 02/22/2018 | | | | Director 10% Owner X Officer (give title Other (specify below) Chief Tech. Off. & Site Head | | | |
| | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| ARDSLEY, | | | | | | | | Form filed by M Person | More than One Ro | eporting | |
| (City) | (State) | (Zip) | Table | e I - Non-De | erivative S | ecuri | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| (Instr. 3) an | | ear) Execution any | emed on Date, if /Day/Year) | 3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5) | | SecuritiesHBeneficially(OwnedH | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Common | 02/22/2019 | | | Code V | Amount 5,197 | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | D | | |
| Stock | 02/22/2018 | | | А | (1) | A | \$0 | 55,513 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (Instr. 8 | 5. ctionNumber of Derivativ Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration I (Month/Day ve s i | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | le and unt of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|------------------------------------|--|--|--|-------|---|---|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Edgar Filing: Batycky Richard P. - Form 4

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|------------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Batycky Richard P. 420 SAW MILL RIVER ROAD ARDSLEY, NY 10502 | | | Chief Tech. Off. & Site Head | | | | | |
| Signatures | | | | | | | | |

/s/ Richard P. Batycky 02/26/2018 <u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the number of shares of the issuer's Common Stock that will be issued to the reporting person based on the vesting of restricted (1) stock units that were previously awarded to the reporting person. The vesting of the shares under the restricted stock units was based on the issuer's achievement of a performance milestone that was specified at the time the restricted stock units were awarded.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.