Shanks Robert L Form 4 March 06, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

response...

burden hours per

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Shanks Robert L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(Middle)

FORD MOTOR CO [F]

(Check all applicable)

FORD MOTOR COMPANY, ONE

(First)

3. Date of Earliest Transaction (Month/Day/Year)

Director

10% Owner \_ Other (specify

03/02/2018

\_X\_\_ Officer (give title . below)

AMERICAN ROAD

Executive Vice President-CFO 6. Individual or Joint/Group Filing(Check

(Street)

(Ctota)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

DEARBORN, MI 48126

(City)	(State)	(Zip) Table	e I - Non-D	erivative Se	curiti	es Acqu	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock,	22/22/2012		Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
\$0.01 par value  Common	03/02/2018		M <u>(1)</u>	24,046	A	(1)	544,385	D	
Stock, \$0.01 par value	03/02/2018		F(2)	58,186	D	\$ 10.4	486,199	D	
Common Stock, \$0.01 par value	03/03/2018		M(1)	22,483	A	(1)	508,682	D	

### Edgar Filing: Shanks Robert L - Form 4

Common Stock, \$0.01 par value	03/04/2018	M <u>(1)</u>	18,559	A	(1)	527,241	D
Common Stock, \$0.01 par value	03/04/2018	A(3)	101,528	A	(3)	628,769	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
	security			Code \	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Ford Stock Units	(1)	03/04/2018		M <u>(1)</u>	(-7)	18,559	<u>(1)</u>	(1)	Common Stock, \$0.01 par value	18,559
Ford Stock Units	(1)	03/03/2018		M <u>(1)</u>		22,483	<u>(1)</u>	<u>(1)</u>	Common Stock, \$0.01 par value	22,48.
Ford Stock Units	(1)	03/02/2018		M <u>(1)</u>		24,046	<u>(1)</u>	<u>(1)</u>	Common Stock, \$0.01 par value	24,040
Ford Stock Units	<u>(4)</u>	03/02/2018		A(4)	141,923		<u>(4)</u>	<u>(4)</u>	Common Stock, \$0.01 par value	141,92
Ford Stock Units	<u>(5)</u>	03/02/2018		A(5)	288,461		<u>(5)</u>	(5)	Common Stock, \$0.01 par value	288,46

#### Edgar Filing: Shanks Robert L - Form 4

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Shanks Robert L FORD MOTOR COMPANY ONE AMERICAN ROAD DEARBORN, MI 48126

**Executive Vice President-CFO** 

## **Signatures**

Jerome F. Zaremba, Attorney-in-Fact

03/06/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transaction involved the conversion, without payment by me, of Ford Stock Units into shares of Common Stock under the Company's Long-Term Incentive Plan.
- These shares were withheld by the Company to cover my income tax liability relating to March 2, 2018, March 3, 2018, and March 4, 2018 vesting of awards of Common Stock under the Company's Long-Term Incentive Plan. The amount withheld for taxes for each award was determined using the closing price of Ford stock on March 2, 2018.
- These shares were acquired under the Company's Long-Term Incentive Plan without payment by me and are a final award related to a 2015 performance-based restricted stock unit opportunity.
- These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford

  (4) Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/02/2018), 66% after two years, and in full after three years.
- (5) These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 2, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3