

KLEINMAN SCOTT
Form 4
February 07, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
KLEINMAN SCOTT

(Last) (First) (Middle)

**C/O APOLLO GLOBAL
MANAGEMENT, LLC, 9 WEST
57TH STREET, 43RD FLOOR**

(Street)

NEW YORK, NY 10019

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
**Apollo Global Management LLC
[APO]**

3. Date of Earliest Transaction
(Month/Day/Year)
02/05/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)
Co-President

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Shares	02/05/2019		F	(A) or (D) D Amount 73,452 (1) Price \$ 30.36	766,023 (2)	D	
Class A Shares					35,157	I	KRT APO Series LLC (3)
Class A Shares					56,110	I	KRT APO Series LLC (4/30/2015) (4)

Edgar Filing: KLEINMAN SCOTT - Form 4

Class A Shares	20,834	I	KRT APO Series 6/30/15 LLC (5)
Class A Shares	20,834	I	KRT APO Series 9/30/15 LLC (6)
Class A Shares	23,876	I	KRT APO Series 12/31/15 LLC (7)
Class A Shares	132,398	I	KRT APO Series LLC, 9-30-18 Series (8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
KLEINMAN SCOTT C/O APOLLO GLOBAL MANAGEMENT, LLC	Co-President

9 WEST 57TH STREET, 43RD FLOOR
NEW YORK, NY 10019

Signatures

/s/ Jessica L. Lomm, as
Attorney-in-Fact

02/07/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Consists of Class A shares withheld by the issuer in order to satisfy the minimum tax withholding obligations of the reporting person arising in connection with the delivery of Class A shares underlying vested restricted share units ("RSUs") that were granted under the Apollo Global Management, LLC 2007 Omnibus Equity Incentive Plan (the "2007 Plan").

(2) Reported amount includes 649,231 RSUs granted under the 2007 Plan. Each RSU represents the contingent right to receive, in accordance with the issuance schedule set forth in the applicable RSU award agreement, one Class A share for each vested RSU. The RSUs vest in installments in accordance with the terms of the applicable RSU award agreement, provided the reporting person remains in service through the applicable vesting date.

(3) By KRT APO Series LLC, a vehicle over which the reporting person exercises voting and investment control.

(4) By KRT APO Series LLC (4/30/2015), a vehicle over which the reporting person exercises voting and investment control.

(5) By KRT APO Series 6/30/15 LLC, a vehicle over which the reporting person exercises voting and investment control.

(6) By KRT APO Series 9/30/15 LLC, a vehicle over which the reporting person exercises voting and investment control.

(7) By KRT APO Series 12/31/15 LLC, a vehicle over which the reporting person exercises voting and investment control.

(8) By KRT APO Series LLC, 9-30-18 Series, a vehicle over which the reporting person exercises voting and investment control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.