Edgar Filing: GREAT SOUTHERN BANCORP INC - Form 4

GREAT SOUTHERN BANCORP INC

Form 4

January 17, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

OMB APPROVAL

Expires:

January 31, 2005

0.5

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Thomason Linton J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

GREAT SOUTHERN BANCORP

INC [GSBC]

(Check all applicable)

Vice President of Subsidiary

10% Owner

(Last) (First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 01/13/2017

Director Other (specify Officer (give title below) below)

CARE OF GREAT SOUTHERN BANK, 218 S. GLENSTONE AVE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SPRINGFIELD, MO 65802

(City) (State) (Zip) 2. Transaction Date 2A. Deemed 1. Title of

(Street)

4. Securities Acquired (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership Form: Direct Beneficial (D) or Indirect (I)

(Instr. 4)

D

7. Nature of Indirect Ownership (Instr. 4)

(A)

(D)

Transaction(s) (Instr. 3 and 4)

Price

Common stock

Security

(Instr. 3)

01/13/2017

Code V Amount V 26

52.014

7,098

SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/ e	Date Exercisable and piration Date onth/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to purchase	\$ 8.36					(2)	11/19/2018	Common stock	1,900	
Option to purchase	\$ 21.44					(3)	12/09/2019	Common stock	1,900	
Option to purchase	\$ 22.08					<u>(4)</u>	11/17/2020	Common stock	2,000	
Option to purchase	\$ 19.53					<u>(5)</u>	11/16/2021	Common stock	2,000	
Option to purchase	\$ 24.82					<u>(6)</u>	11/28/2022	Common stock	2,500	
Option to purchase	\$ 29.64					<u>(7)</u>	12/18/2023	Common stock	2,500	
Option to purchase	\$ 32.59					(8)	10/15/2024	Common Stock	2,500	
Option to purchase	\$ 50.71					<u>(9)</u>	11/18/2025	Common Stock	2,500	
Option to purchase	\$ 41.3					(10)	10/24/2026	Common Stock	2,500	

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Thomason Linton J CARE OF GREAT SOUTHERN BANK 218 S. GLENSTONE AVE SPRINGFIELD, MO 65802

Vice President of Subsidiary

8. Price Deriva Securit (Instr.

Reporting Owners 2

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Signatures

Matt Snyder, Attorney-in-fact for Linton J. Thomason

01/17/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DRIP acquisition exempt from Section 16 reporting being reported voluntarily
- (2) 475 shares vest on 11/19/2010, 11/19/2011, 11/19/2012 and 11/19/2013
- (3) 475 shares vest on 12/9/2011, 12/9/2012, 12/9/2013 and 12/9/2014
- (4) 500 shares vest on 11/17/2012, 11/17/2013, 11/17/2014 and 11/17/2015
- (5) 500 shares vest on 11/16/2013, 11/16/2014, 11/16/2015 and 11/16/2016
- (6) 625 shares vest on 11/28/2014, 11/28/2015, 11/28/2016 and 11/28/2017
- (7) 625 shares vest on 12/18/2015, 12/18/2016, 12/18/2017 and 12/18/2018
- (8) 625 Shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019
- (9) 625 shares vest on 11/18/2017, 11/18/2018, 11/18/2019 and 11/18/2020
- (10) 625 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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