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PIPER JAFFRAY COMPANIES

Form 5/A

February 20, 2014

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Check this box if no longer subject to Section 16. Form 4 or Form

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Washington, D.C. 20549

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Number:

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January 31,

5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4

Transactions Reported

(City)

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer O'Brien Brien M Symbol PIPER JAFFRAY COMPANIES (Check all applicable) [PJC] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner X _ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2013 Former Head of Asset Mgmt TWO PRUDENTIAL PLAZA, 180 N. STETSON AVE, SUITE 5500 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) 01/03/2014 CHICAGO, ILÂ 60601 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

5. Amount of 7. Nature of 1. Title of 2. Transaction Date 2A. Deemed 4. Securities 6. Ownership Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(State)

(Zip)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 6. Date Exercisable and 7. Title and Derivative Conversion (Month/Day/Year) Execution Date, if Transaction Number **Expiration Date** Amount of

8. Price of 9.

Derivative

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Security	or Exercise	any	Code	of	(Month/Day/	Year)	Underlying	Security
(Instr. 3)	Price of	(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securities	(Instr. 5)
	Derivative			Securities Acquired			(Instr. 3 and 4)	
	Security							
				(A) or				
				Disposed				
				of (D)				
				(Instr. 3,				
				4, and 5)				
				(A) (D)	Date	Expiration	Title Amount	
				(A) (D)		*		Ļ
					Exercisable	Date	or	
							Number	•
							of	
							Shares	

B

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

O'Brien Brien M

TWO PRUDENTIAL PLAZA, 180 N. STETSON AVE SUITE 5500

CHICAGO, ILÂ 60601

Relationships

Signatures

John W. Geelan for Brien
O'Brien
02/06/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

This amended Form 5 is being filed to reflect the fact that the box indicating that the reporting Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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