#### Edgar Filing: BOK FINANCIAL CORP ET AL - Form 3

**BOK FINANCIAL CORP ET AL** 

Form 3 April 13, 2015

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BOK FINANCIAL CORP ET AL [BOKF] Maun Marc (Month/Day/Year) 04/03/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O FREDERIC DORWART. (Check all applicable) LAWYERS, 124 EAST 4TH STREET 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Chief Credit Officer \_X\_ Form filed by One Reporting Person TULSA. OKÂ 74103 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) 10,251 (1) Â Common Stock D Common Stock 1.518 Ι Marc Maun and Theresa Maun Marc Christopher Maun Common Stock 9,311 Ι Revocable Trust (1997) Theresa Beth Maun Revocable Common Stock Ι 517 Trust (1997)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: BOK FINANCIAL CORP ET AL - Form 3

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Date Exerc	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
2006 Stock Options	(2)	(3)	Common Stock	643	\$ 47.05	D	Â
2007 Stock Options	(2)	(3)	Common Stock	1,694	\$ 54.33	D	Â
2008 Stock Options	(2)	(3)	Common Stock	3,237	\$ 48.46	D	Â
2010 Stock Options	(2)	(3)	Common Stock	1,590	\$ 48.3	D	Â
2011 Stock Options	(2)	(3)	Common Stock	1,788	\$ 55.94	D	Â
2012 Stock Options	(2)	(3)	Common Stock	6,098	\$ 58.76	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of their families	Director	10% Owner	Officer	Other		
Maun Marc						
C/O FREDERIC DORWART, LAWYERS	â	â	Chief Credit Officer	â		
124 EAST 4TH STREET	А	А	A Chief Cledit Officer	A		
TULSA, OK 74103						

# **Signatures**

/s/ Frederic Dorwart, Power of
Attorney

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 10,251 of these shares represent restricted stock which vests 5 years from the date of grant. Shares are subject to forfeiture (i) upon (1) termination of employment prior to vesting, and (ii) certain performance earnings per share targets established pursuant to BOKF Executive Incentive Plan are not met.
- (2) For options granted in any one year, one-seventh of the options of such grant vest and become exercisable on the grant date of the anniversary each year commencing on the first anniversary after the grant.
- (3) Options expire 3 years after vesting.

Reporting Owners 2

#### Edgar Filing: BOK FINANCIAL CORP ET AL - Form 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.