Activision Blizzard, Inc. Form 4 September 03, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

OMB 3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

burden hours per 0.5

Estimated average

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KOTICK ROBERT A

Symbol Activision Blizzard, Inc. [ATVI] 5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

President & CEO

(Last)

(First)

(Street)

(State)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

09/01/2014

\_X\_\_ Director X\_ Officer (give title

X 10% Owner Other (specify

C/O ACTIVISION BLIZZARD,

(Zip)

INC., 3100 OCEAN PARK **BOULEVARD** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

SANTA MONICA, CA 90405

1.Title of	2. Transaction Date	2A. Deemed
Security	(Month/Day/Year)	Execution Date, if
(Instr. 3)		any
		(Month/Day/Year

4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Securities Beneficially Form: Owned Following Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)

7. Nature of Ownership Indirect Beneficial Direct (D) Ownership or Indirect (Instr. 4)

Common

(City)

Stock, par value

09/01/2014

17,924 D  $F^{(1)}$ 

Code V Amount

(A)

or

(D)

Price

4,757,438 (2)

\$0.000001

per share

Common

Stock, par value \$0.000001

per share

171,968,042

By ASAC II LP (3)

9,600

I

#### Edgar Filing: Activision Blizzard, Inc. - Form 4

Common	by
Stock, par	UTMAs
value	for the
\$0.000001	benefit of
per share	minor
	children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ioiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KOTICK ROBERT A						
C/O ACTIVISION BLIZZARD, INC.	X	X	President & CEO			
3100 OCEAN PARK BOULEVARD	Λ	Λ	President & CEO			
SANTA MONICA, CA 90405						

## **Signatures**

/s/ Robert A. Kotick	09/03/201	
**Signature of Reporting Person	Date	

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On September 1, 2014, 34,350 restricted share units held by Mr. Kotick vested and, as allowed under the terms of his restricted share unit agreement, the Company withheld 17,924 shares otherwise deliverable to him to satisfy the resulting tax withholding obligations.
- Following the transactions reported on this Form 4, Mr. Kotick directly held (a) 755,700 restricted share units, each representing the right to receive a share of the Company's common stock, (b) 2,407,472 performance shares, each representing the right to receive a share of the Company's common stock, and (c) 1,594,266 shares of the Company's common stock.
- ASAC II LLC is the general partner of ASAC II LP. Mr. Kotick and Brian G. Kelly are the managers of ASAC II LLC. Mr. Kotick (3) disclaims beneficial ownership of the Company's common stock held by ASAC II LP except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.