

Firsthand Technology Value Fund, Inc.

Form 4

April 15, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Landis Kevin M

2. Issuer Name **and** Ticker or Trading
Symbol
Firsthand Technology Value Fund,
Inc. [SVVC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
150 ALMADEN BLVD., SUITE
1250

3. Date of Earliest Transaction
(Month/Day/Year)
04/13/2015

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President

(Street)
SAN JOSE, CA 95113

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Firsthand Technology Value Fund, Inc.	04/13/2015		P	1,000 A \$ 14.34	132,986	D	
Firsthand Technology Value Fund, Inc.	04/13/2015		P	2,000 A \$ 14.35	134,986	D	
Firsthand Technology Value Fund,	04/13/2015		P	632 A \$ 14.355	135,618	D	

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Inc.

Firsthand Technology Value Fund, Inc.	04/13/2015	P	1,000	A	\$ 14.3599	136,618	D
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Firsthand Technology Value Fund, Inc.	04/13/2015	P	100	A	\$ 14.36	136,718	D
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Firsthand Technology Value Fund, Inc.	04/13/2015	P	2,000	A	\$ 14.3691	138,718	D
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Firsthand Technology Value Fund, Inc.	04/13/2015	P	900	A	\$ 14.3697	139,618	D
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Firsthand Technology Value Fund, Inc.	04/13/2015	P	3,868	A	\$ 14.3699	143,486	D
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Firsthand Technology Value Fund, Inc.	04/13/2015	P	1,800	A	\$ 14.37	145,286	D
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Firsthand Technology Value Fund, Inc.	04/13/2015	P	2,000	A	\$ 14.3788	147,286	D
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Firsthand Technology Value Fund, Inc.	04/13/2015	P	1,200	A	\$ 14.3799	148,486	D
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Firsthand Technology Value Fund, Inc.	04/13/2015	P	1,700	A	\$ 14.38	150,186	D
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Firsthand Technology Value Fund, Inc.	04/13/2015	P	2,800	A	\$ 14.39	152,986	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form**

SEC 1474
(9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Landis Kevin M 150 ALMADEN BLVD., SUITE 1250 SAN JOSE, CA 95113	X		President	

Signatures

/s/ Kevin Landis 04/15/2015

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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