

Hale Mark J.
Form 4
January 03, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hale Mark J.

2. Issuer Name and Ticker or Trading Symbol
FACTSET RESEARCH SYSTEMS INC [FDS]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
601 MERRITT 7
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/31/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Chief Operating Officer

NORWALK, CT 06851

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
FactSet Common Stock	01/02/2018		F	499	(1)	D	\$ 191.63
							2,717
							D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Hale Mark J. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Non-Qualified Stock Option (right to buy)	\$ 131.31	12/31/2017 ⁽²⁾		J	2,018 <u>(2)</u>	<u>(2)</u> 12/31/2017 ⁽²⁾	FactSet Common Stock
Non-Qualified Stock Option (right to buy)	\$ 175.2	12/31/2017 ⁽²⁾		J	10,199 <u>(2)</u>	<u>(2)</u> 12/31/2017 ⁽²⁾	FactSet Common Stock
Non-Qualified Stock Option (right to buy)	\$ 175.2	12/31/2017 ⁽²⁾		J	3,400 <u>(2)</u>	<u>(2)</u> 12/31/2017 ⁽²⁾	FactSet Common Stock
Non-Qualified Stock Option (right to buy)	\$ 152.28	12/31/2017 ⁽²⁾		J	5,323 <u>(2)</u>	<u>(2)</u> 12/31/2017 ⁽²⁾	FactSet Common Stock
Non-Qualified Stock Option (right to buy)	\$ 189.98	12/31/2017 ⁽²⁾		J	7,251 <u>(2)</u>	<u>(2)</u> 12/31/2017 ⁽²⁾	FactSet Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hale Mark J. 601 MERRITT 7 NORWALK, CT 06851			Chief Operating Officer	

Signatures

/s/ Mark J. Hale 01/03/2018
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares withheld to cover the cost of taxes upon vesting of restricted stock previously granted on November 1, 2013.
- (2) On November 14, 2017, FactSet Research Systems Inc. ("FactSet" or the "Company") announced that Mark J. Hale will separate from his position as Executive Vice President, Chief Operating Officer, effective on December 31, 2017. This represents options held by Mr. Hale

Edgar Filing: Hale Mark J. - Form 4

at the time of termination, which expired upon his departure on December 31, 2017 and subsequently resulted in a pre-vesting forfeiture.

(3) Column 8 has been intentionally left blank because the transaction was a forfeiture of a derivative security.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.