### Edgar Filing: Mergenthaler Frank - Form 4

Mergenthale Form 4											
March 04, 2	ЛЛ					~~~		<b></b>	OMB AF	PROVAL	
. •		SECURITIES AND EXCHANGE CO Washington, D.C. 20549					OMB Number:	3235-0287			
Check th if no lon subject t Section Form 4 o Form 5 obligatio may con <i>See</i> Instr 1(b).	F CHAN Section 1 Public U	GES IN SECUR 6(a) of th tility Hold vestment	Expires:January 3200Estimated averageburden hours perresponse0								
(Print or Type	Responses)										
				r Name <b>and</b> PUBLIC ( ANIES, II	GROUP	OF	0	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				f Earliest Tr Day/Year) 019	ransaction			Director 10% Owner X_ Officer (give title Other (specify below) below) EVP & CFO			
NEW YOR	(Street) K, NY 10022			endment, Da nth/Day/Year	-			6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M Person	ne Reporting Pe	rson	
(City)	(State)	(Zip)	Tabl	le I - Non-D	Derivative S	Securi		ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. Transactic Code	4. Securiti on(A) or Dis (Instr. 3, 4	ies Aco posed	quired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Common Stock	02/28/2019			Code V A	Amount 63,848 (1)	(D) A	Price \$ 22.9	(113475 <u>(2)</u>	D		
Common Stock	02/28/2019			F	43,920 (3)	D	\$ 22.9	198,085 <u>(2)</u>	D		
Common Stock	02/28/2019			А	36,398 (4)	А	\$ 22.9	234,483 <u>(2)</u>	D		
Common Stock	03/01/2019			S	49,553 (5)	D	\$ 22.95 (6)	184,930 <u>(2)</u>	D		
Common Stock								101,933 <u>(7)</u>	I	Trust	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Da	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
				~	(1) (5)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Mergenthaler Frank IPG 909 THIRD AVE NEW YORK, NY 10022			EVP & CFO					
Signatures								
/s/Robert Dobson POA for Fran	nk		03/04/2019					

Mergenthaler
<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance based shares, awarded to Mr. Mergenthaler on February 28, 2019 after achieving specific performance goals and vesting over the 2016-2019 period.
- (2) Includes restricted shares which are subject to forfeiture under certain circumstances.
- (3) This is not an open market sale; rather it represents a surrender of shares to the company to satisfy withholding tax obligations.
- (4) Restricted shares that will vest on February 28, 2022.
- (5) Open market sale.

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Reflects average price of multiple sales on March 1, 2019 ranging from \$22.82-\$23.14. Mr. Mergenthaler undertakes to provide upon

- (6) request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number shares sold at each separate price.
- (7) Shares are held in the B MERGENTHALER & L MERGENTHALERCO-TTEE U/A/D 12/21/2012F MERGENTHALER 2012 FAMILY GIFT TR Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.