Edgar Filing: ALLIANCEBERNSTEIN HOLDING L.P. - Form 4

ALLIANCEBERNSTEIN HOLDING L.P.

Form 4

December 03, 2015

| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB APPROVAL | | | | |
|---|---|---|----------------------------------|--|---------|--|--|--|--|--|--|
| | | | | | | | OMB Number: | 3235-0287 | | | |
| Check this | | ,,,,, | simgion, i | ington, D.C. 2034) | | | | | January 31, | | |
| if no longe subject to Section 16 Form 4 or Form 5 obligations may contin | Filed pursonue. Section 17(a) | uant to Section 1) of the Public U 30(h) of the In | SECURIO 6(a) of the fility Holdi | TIES Securities ng Comp | es Exc | change Act of | Act of 1934, 1935 or Section | Expires: Estimated burden ho response | 2005 average urs per | | |
| See Instruction 1(b). | | | | | | | | | | | |
| (Print or Type Re | esponses) | | | | | | | | | | |
| 1. Name and Ad Weisenseel Jo | Symbol | r Name and T | | rading | ; | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| | | | NCEBERN ING L.P. [<i>A</i> | | | | (Check all applicable) | | | | |
| (Last) | (First) (M | f Earliest Trai | nsaction | | | Director | 10% Owner | | | | |
| | NCEBERNSTEIN VENUE OF THE | N 12/01/2 | Day/Year) 2015 | | | | _X_ Officer (give title Other (specify below) Chief Financial Officer | | | | |
| | (Street) 4. If Amend Filed(Month | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| NEW YORK | , NY 10105 | | | | | | Form filed by More than One Reporting Person | | | | |
| (City) | (State) (Z | Zip) Tab | le I - Non-De | rivative Se | ecuriti | ies Acqu | uired, Disposed of | , or Beneficia | ally Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Code (Instr. 8) | Transaction(A) or Disposed of (I Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| units rep. assignments of beneficial owner. of lp interests (1) | 12/01/2015 | | F | 11,353 | ` , | Price \$ 24.06 | 28 689 (2) | I | by rabbi trust under employee incentive compensation award program | | |
| AB Holding Units (1) | | | | | | | 59,487 <u>(2)</u> | D | | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | le and | 8. Price of | 9 |
|----------|-------------|-------------|---------------------|--------------------|------------------|------------|------------------|-------------|--------------------------|----------|-------------|---|
| | Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionNumbe | | Expiration Date | | Amou | int of | Derivative | J |
| | Security | or Exercise | | any | Code | of | (Month/Day/Year) | | Underlying Securities | | Security | , |
| | (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | | | | | (Instr. 5) |] |
| | | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | (|
| Security | | | | | | Acquired | | | | | J | |
| | | | | | (A) or | | | | | |] | |
| | | | | | | Disposed | | | | | | 7 |
| | | | | | | of (D) | | | | | | (|
| | | | | | (Instr. 3, | | | | | | | |
| | | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | Amount | | |
| | | | | | | | | | | | | |
| | | | | | | Date | Expiration | | or Number | | | |
| | | | | | | | Exercisable | ole Date | | of | | |
| | | | | | Code V | (A) (D) | | | | | | |
| | | | | | Code v | (A) (D) | | | | Shares | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Weisenseel John C C/O ALLIANCEBERNSTEIN L.P. 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105

Chief Financial Officer

Signatures

/s/ Mona Bhalla, attorney-in-fact 12/03/2015

**Signature of Reporting Person Da

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units representing assignments of beneficial ownership of limited partnership interests in AllianceBernstein Holding L.P. ("AB Holding Units").
- On December 1, 2015, 30,707 AB Holding Units previously owned by Reporting Person through a rabbi trust under an employee (2) incentive compensation award program were distributed to him. Of these AB Holding Units, 11,354 AB Holding Units were withheld for tax purposes and 19,353 AB Holding Units were delivered to him.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

of 9. Nu ve Deriv Secu

SEC 1474

(9-02)

Secur Bene Own Follo Repo Trans (Instr