TAUREL SIDNEY Form 4 July 02, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* TAUREL SIDNEY

2. Issuer Name and Ticker or Trading

Symbol

INTERNATIONAL BUSINESS

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable) MACHINES CORP [IBM]

3. Date of Earliest Transaction

(Middle)

(Month/Day/Year) 06/29/2018

Director 10% Owner Officer (give title Other (specify

C/O SECRETARY'S OFFICE, IBM CORPORATION, NEW ORCHARD ROAD

(First)

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

3.

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ARMONK, NY 10504

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities

5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Securities Beneficially (D) or Indirect Beneficial Owned Ownership Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number 6. Date Exer			cisable and	7. Title and A	Amount of	8. Price	
Derivative	Conversion or Exercise	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transac	tionof		Expiration Date		Underlying Securities		Derivati Security (Instr. 5
Security				Code	Der	ivative	(Month/Day/Year)		(Instr. 3 and 4)		
(Instr. 3)	Price of			(Instr. 8	) Sec	urities					
	Derivative				Acc	uired					
	Security				(A)	or					
	•				Dis	posed					
					of (	D)					
					(Ins	tr. 3, 4,					
					and	5)					
				Code '	V (A	) (D)	Date	Expiration	Title	Amount	
						, , ,	Exercisable	*		or	
										Number	
										of	
										Shares	
										2333700	
Promised	¢ o (1)	06/00/0010		A (2)	(1	O	(3)	(3)	Common	<i>C</i> 10	¢ 120
Fee Share	\$ 0 (1)	06/29/2018		$A^{(2)}$	61	ð	(3)	(3)	Stock	618	\$ 139

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TAUREL SIDNEY C/O SECRETARY'S OFFICE, IBM CORPORATION NEW ORCHARD ROAD ARMONK, NY 10504

### **Signatures**

A. Gomes da Silva on behalf of S. Taurel

07/02/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan are paid out after retirement in the company's common stock or cash.
- (2) Deferral of fees into Promised Fee Shares under the terms of the IBM Board of Directors Deferred Compensation and Equity Award Plan.
- (3) Distribution of Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan is deferred until retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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