

EchoStar CORP
Form 4
November 14, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Kilaru Kranti

(Last) (First) (Middle)

100 INVERNESS TERRACE EAST

(Street)

ENGLEWOOD, CO 80112

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
EchoStar CORP [SATS]

3. Date of Earliest Transaction (Month/Day/Year)
11/12/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

EVP, Bus Systems, IT & Ops

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	11/12/2013		M		5,488	A	\$ 29.54
Class A Common Stock	11/12/2013		M		5,388	A	\$ 14.83
Class A Common Stock	11/12/2013		M		5,378	A	\$ 19.08
Class A Common	11/12/2013		S ⁽¹⁾		16,254	D	\$ 97
							49.91

Edgar Filing: EchoStar CORP - Form 4

Stock						<u>(2)</u>			
Class A Common Stock	11/13/2013	M	34,512	A	\$ 29.54	34,609		D	
Class A Common Stock	11/13/2013	M	19,612	A	\$ 14.83	54,221		D	
Class A Common Stock	11/13/2013	M	4,622	A	\$ 19.08	58,843		D	
Class A Common Stock	11/13/2013	<u>S(1)</u>	58,746	D	\$ 49.93	97		D	
Class A Common Stock					<u>(3)</u>	797		I	by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Employee Stock Option (Right to Buy)	\$ 29.54	11/12/2013		M	5,488	<u>(4)</u>	03/31/2018	Class A Common Stock	5,488
Employee Stock Option (Right to Buy)	\$ 14.83	11/12/2013		M	5,388	<u>(5)</u>	03/31/2019	Class A Common Stock	5,388

Employee Stock Option (Right to Buy)	\$ 19.08	11/12/2013	M	5,378	<u>(6)</u>	06/30/2020	Class A Common Stock	5,378
Employee Stock Option (Right to Buy)	\$ 29.54	11/13/2013	M	34,512	<u>(4)</u>	03/31/2018	Class A Common Stock	34,512
Employee Stock Option (Right to Buy)	\$ 14.83	11/13/2013	M	19,612	<u>(5)</u>	03/31/2019	Class A Common Stock	19,612
Employee Stock Option (Right to Buy)	\$ 19.08	11/13/2013	M	4,622	<u>(6)</u>	06/30/2020	Class A Common Stock	4,622

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kilaru Kranti 100 INVERNESS TERRACE EAST ENGLEWOOD, CO 80112			EVP, Bus Systems, IT & Ops	

Signatures

/s/ Katherine M. Hanna, his
Attorney-in-Fact

11/14/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 27, 2013.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.90 to \$50.00, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote 2 to this Form 4.
- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.92 to \$49.95 inclusive.
- (3) The shares underlying the option vest at the rate of 20% per year, commencing on 3/31/2009.
- (4)

Edgar Filing: EchoStar CORP - Form 4

- (5) The shares underlying the option vest at the rate of 20% per year, commencing on 3/31/2010.
- (6) The shares underlying the option vest at the rate of 20% per year, commencing on 6/30/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.