

MARTIN CHRISTOPHER P

Form 4

March 07, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MARTIN CHRISTOPHER P

2. Issuer Name **and** Ticker or Trading  
Symbol  
PROVIDENT FINANCIAL  
SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

239 WASHINGTON STREET

(Street)

JERSEY CITY, NJ 07302

(City) (State) (Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/05/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman, President and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/05/2019		A	Amount 33,678 (1)	A \$ 0 392,755	D	
Common Stock	03/05/2019		F	14,782 D	\$ 27.24 377,973	D	
Common Stock					151,811 (2)	I	By 401(k) Plan
Common Stock					15,480 (2)	I	By ESOP
Common Stock					17,785	I	By Deferred Fee Plan

# Edgar Filing: MARTIN CHRISTOPHER P - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 27.25							03/04/2020	03/04/2029	Common Stock	41,685
Stock Options	\$ 14.88							02/03/2013	02/03/2022	Common Stock	20,000
Stock Options	\$ 15.23							02/19/2014	02/19/2023	Common Stock	26,755
Stock Options	\$ 14.5							02/24/2012	02/24/2021	Common Stock	29,430
Stock Options	\$ 25.58							03/05/2019	03/05/2028	Common Stock	43,124
Stock Options	\$ 26.31							03/07/2018	03/07/2027	Common Stock	42,857
Stock Options	\$ 18.34							02/19/2016	02/19/2025	Common Stock	65,972
Stock Options	\$ 16.38							02/19/2015	02/19/2024	Common Stock	35,000
Stock Options	\$ 16.38							03/04/2016	02/19/2024	Common Stock	45,762
Stock Options	\$ 18.7							02/24/2017	02/24/2026	Common Stock	76,327
Stock Options	\$ 14.5							02/24/2011	02/24/2021	Common Stock	14,623
	\$ 15.23							03/04/2015	02/19/2023		25,126

Stock  
Options

Common  
Stock

Stock  
Options \$ 14.88

03/04/2015 02/03/2022

Common  
Stock 22,542

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302	X		Chairman, President and CEO	

## Signatures

/s/ Leonard G. Gleason, Pursuant to Power of  
Attorney

03/07/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Performance vesting stock awards granted on February 24, 2016 based on meeting certain performance criteria.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Stock options vest at a rate of 33.3% per year.
- (4) Stock options have fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.