

BIO RAD LABORATORIES INC

Form 4

September 14, 2015

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GOETZ JOHN

(Last) (First) (Middle)

C/O BIO-RAD LABORATORIES,  
INC., 1000 ALFRED NOBEL  
DRIVE

(Street)

HERCULES, CA 94547

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

BIO RAD LABORATORIES INC  
[BIO, BIOB]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/10/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
EVP, Chief Operating Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Bio-Rad A<br>Common<br>Stock          | 09/10/2015                              |                                                             | M                                       | 1,200<br>(1) A \$ 0                                                        | 54,847.5476                                                                                                        | D                                                                    |                                                                   |
| Bio-Rad A<br>Common<br>Stock          | 09/11/2015                              |                                                             | M                                       | 300 (1) A \$ 0                                                             | 55,147.5476                                                                                                        | D                                                                    |                                                                   |
| Bio-Rad A<br>Common<br>Stock          | 09/12/2015                              |                                                             | M                                       | 300 (1) A \$ 0                                                             | 55,447.5476                                                                                                        | D                                                                    |                                                                   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) |                    |                                 |                                        |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|----------------------------------------------------------------|--------------------|---------------------------------|----------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                         | (A)                                                            | (D) | Date<br>Exercisable                                            | Expiration<br>Date | Title                           | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock Units                           | \$ 0 <u>(2)</u>                                                       | 09/10/2015                              |                                                             | M                                       |                                                                                                           | 1,200                                                          |     | <u>(3)</u>                                                     | <u>(3)</u>         | Bio-Rad<br>A<br>Common<br>Stock | 1                                      |
| Restricted<br>Stock Units                           | \$ 0 <u>(2)</u>                                                       | 09/11/2015                              |                                                             | A                                       |                                                                                                           | 8,000                                                          |     | <u>(4)</u>                                                     | <u>(4)</u>         | Bio-Rad<br>A<br>Common<br>Stock | 8                                      |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 139.56                                                             | 09/11/2015                              |                                                             | A                                       |                                                                                                           | 8,000                                                          |     | <u>(5)</u>                                                     | 09/11/2025         | Bio-Rad<br>A<br>Common<br>Stock | 8                                      |
| Restricted<br>Stock Units                           | \$ 0 <u>(2)</u>                                                       | 09/11/2015                              |                                                             | M                                       |                                                                                                           | 300                                                            |     | <u>(6)</u>                                                     | <u>(6)</u>         | Bio-Rad<br>A<br>Common<br>Stock |                                        |
| Restricted<br>Stock Units                           | \$ 0 <u>(2)</u>                                                       | 09/12/2015                              |                                                             | M                                       |                                                                                                           | 300                                                            |     | <u>(7)</u>                                                     | <u>(7)</u>         | Bio-Rad<br>A<br>Common<br>Stock |                                        |

## Reporting Owners

| Reporting Owner Name / Address               | Relationships |           |                              |       |
|----------------------------------------------|---------------|-----------|------------------------------|-------|
|                                              | Director      | 10% Owner | Officer                      | Other |
| GOETZ JOHN<br>C/O BIO-RAD LABORATORIES, INC. |               |           | EVP, Chief Operating Officer |       |

1000 ALFRED NOBEL DRIVE  
HERCULES, CA 94547

## Signatures

John Goetz

09/11/2015

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Class A common stock acquired on the vesting of restricted stock units.
- (2) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class A common stock.
- (3) The restricted stock units vest in five equal annual installments beginning September 10, 2015.
- (4) The restricted stock units vest over five years at 20% per year on the yearly anniversary date of the grant.
- (5) The stock option vests over five years at 20% per year on the yearly anniversary date of the grant.
- (6) The restricted stock units vest in five equal annual installments beginning September 11, 2014.
- (7) The restricted stock units vest in five equal annual installments beginning September 12, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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