Edgar Filing: BIO RAD LABORATORIES INC - Form 4

BIO RAD LABORATORIES INC Form 4 September 13, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Hall Shannon Issuer Symbol **BIO RAD LABORATORIES INC** (Check all applicable) [BIO, BIOB] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) C/O BIO-RAD LABORATORIES. 09/10/2016 Executive Vice President INC., 1000 ALFRED NOBEL DRIVE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting HERCULES, CA 94547 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of 6. Indirect Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price **Bio-Rad** Α 09/10/2016 Μ 240 (1) A \$0 667.012 D Common Stock **Bio-Rad** Α 840⁽¹⁾ A D 09/11/2016 Μ \$0 1,507.012 Common Stock **Bio-Rad** 414 (2) D 09/12/2016 S \$ 1.093.012 D Α 155.0169

Reporting Owners

Edgar Filing: BIO RAD LABORATORIES INC - Form 4

(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derival Securit (Instr. :
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(4)</u>	09/10/2016		М	240	(5)	(5)	Bio-Rad A Common Stock	240	\$ C
Restricted Stock Units	\$ 0 <u>(4)</u>	09/11/2016		М	240	<u>(6)</u>	<u>(6)</u>	Bio-Rad A Common Stock	240	\$ C
Restricted Stock Units	\$ 0 <u>(4)</u>	09/11/2016		М	600	(7)	(7)	Bio-Rad A Common Stock	600	\$ C

Reporting Owners

Common Stock

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
Hall Shannon						
C/O BIO-RAD LABORATORIES, INC.			Executive Vice President			
1000 ALFRED NOBEL DRIVE			Executive vice President			
HERCULES, CA 94547						

Signatures

/s/ Ronald W. Hutton, Attorney-in-fact

09/13/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Class A common stock acquired on the vesting of restricted stock units.
- (2) Sold by the issuer on behalf of the reporting person to satisfy certain tax obligations in connection with the vesting of restricted stock units, all in accordance with a restricted stock unit award agreement.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$155.0162 to \$155.0174, inclusive. The reporting person undertakes to provide to Bio-Rad Laboratories, Inc., any security holder of Bio-Rad Laboratories, Inc., any security holder of Bio-Rad Laboratories, Inc., any security holder of Bio-Rad Laboratories, Inc., and Security holder of Bio-Rad Laboratories, Inc., any security holder of Bio-Rad Laboratories, Inc., and Security holder o

Bio-Rad Laboratories, Inc., or the staff of the Securites and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (4) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class A common stock.
- (5) The restricted stock units vest in five equal annual installments beginning September 10, 2015.
- (6) The restricted stock units vest in five equal annual installments beginning September 11, 2014.
- (7) The restricted stock units vest in five equal annual installments beginning September 11, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.