

GLAXOSMITHKLINE PLC

Form 6-K

November 08, 2016

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934

For period ending 08 November 2016

GlaxoSmithKline plc

(Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS

(Address of principal executive offices)

Indicate by check mark whether the registrant files or
will file annual reports under cover Form 20-F or Form 40-F

Form 20-F ☒ Form 40-F

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Indicate by check mark whether the registrant by furnishing the
information contained in this Form is also thereby furnishing the
information to the Commission pursuant to Rule 12g3-2(b) under the
Securities Exchange Act of 1934.

Yes No ☒

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline Share Option
Plan - Ordinary Shares

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted
securities under
scheme(s) from previous 7,398,066
return:

Plus: The amount by
which the block
scheme(s) has been
increased since the date 0
of the last return (if any
increase has been
applied for):

Less: Number of
securities issued/allotted 3,867,264
under scheme(s) during
period (see LR3.5.7G):

Equals: Balance under
scheme(s) not yet 3,530,802
issued/allotted at end of
period:

Name of contact: Victoria
Whyte

Telephone number of contact: 020 8047
5000

BLOCK LISTING SIX MONTHLY RETURN

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

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Name of scheme: GlaxoSmithKline Share Option
Plan - ADS

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted
securities under
scheme(s) from previous
return: 9,883,088

Plus: The amount by
which the block
scheme(s) has been
increased since the date 0
of the last return (if any
increase has been
applied for):

Less: Number of
securities issued/allotted 533,350
under scheme(s) during
period (see LR3.5.7G):

Equals: Balance under
scheme(s) not yet 9,349,738
issued/allotted at end of
period:

Name of contact: Victoria
Whyte

Telephone number of contact: 020 8047
5000

BLOCK LISTING SIX MONTHLY RETURN

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome UK Share Option
Scheme

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted 5,119,750
securities under
scheme(s) from previous
return:

Plus: The amount by
which the block
scheme(s) has been
increased since the date 0
of the last return (if any
increase has been
applied for):

Less: Number of
securities issued/allotted 0
under scheme(s) during
period (see LR3.5.7G):

Equals: Balance under
scheme(s) not yet 5,119,750
issued/allotted at end of
period:

Name of contact: Victoria
Whyte

Telephone number of contact: 020 8047
5000

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome International
Share Option Scheme

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted
securities under
scheme(s) from previous 13,267,156
return:

Plus: The amount by
which the block
scheme(s) has been
increased since the date 0
of the last return (if any
increase has been
applied for):

0

Less: Number of
securities issued/allotted
under scheme(s) during
period (see LR3.5.7G):
Equals: Balance under
scheme(s) not yet 13,267,156
issued/allotted at end of
period:

Name of contact: Victoria
Whyte

Telephone number of contact: 020 8047
5000

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome 1999 Share
Option Plan

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted
securities under
scheme(s) from previous 1,040,000
return:

Plus: The amount by
which the block
scheme(s) has been 0
increased since the date
of the last return (if any
increase has been
applied for):

Less: Number of
securities issued/allotted 0
under scheme(s) during
period (see LR3.5.7G):
Equals: Balance under
scheme(s) not yet 1,040,000
issued/allotted at end of
period:

Name of contact: Victoria
Whyte

Telephone number of contact: 020 8047
5000

BLOCK LISTING SIX MONTHLY RETURN

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Date:8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989
Executive Share Option Plan -
Approved - Ordinary Shares

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted
securities under
scheme(s) from previous
return: 163,606

Plus: The amount by
which the block
scheme(s) has been
increased since the date 0
of the last return (if any
increase has been
applied for):

Less: Number of
securities
issued/allotted under 0
scheme(s) during period
(see LR3.5.7G):

Equals: Balance under
scheme(s) not yet 163,606
issued/allotted at end of
period:

Name of contact: Victoria
Whyte

Telephone number of contact: 020 8047
5000

BLOCK LISTING SIX MONTHLY RETURN

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc
Name of scheme: SmithKline Beecham 1989
Executive Share Option Plan -
Unapproved - ADS

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted
securities under
scheme(s) from previous
return: 275,420

Plus: The amount by
which the block
scheme(s) has been
increased since the date 0
of the last return (if any
increase has been
applied for):

Less: Number of
securities issued/allotted 0
under scheme(s) during
period (see LR3.5.7G):

Equals: Balance under
scheme(s) not yet 275,420
issued/allotted at end of
period:

Name of contact: Victoria
Whyte
Telephone number of contact: 020 8047
5000

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Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989
Executive Share Option Plan -
Unapproved - Ordinary

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted
securities under
scheme(s) from previous
return: 100,000

Plus: The amount by
which the block
scheme(s) has been
increased since the date 0
of the last return (if any
increase has been
applied for):

Less: Number of
securities issued/allotted 0
under scheme(s) during
period (see LR3.5.7G):
Equals: Balance under
scheme(s) not yet 100,000
issued/allotted at end of
period:

Name of contact: Victoria
Whyte

Telephone number of contact: 020 8047
5000

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline Savings Related
Share Option Scheme

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted
securities under
scheme(s) from previous 2,742,626
return:

Plus: The amount by
which the block
scheme(s) has been 0
increased since the date
of the last return (if any
increase has been
applied for):

Less: Number of
securities issued/allotted 0
under scheme(s) during
period (see LR3.5.7G):

Equals:
Balance under scheme(s) 2,742,626
not yet issued/allotted at
end of period:

Name of contact: Victoria
Whyte

Telephone number of contact: 020 8047
5000

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline plc Sharesave
Plan 2012

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted
securities under
scheme(s) from previous 494,191
return:

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):
Equals: Balance under scheme(s) not yet issued/allotted at end of period:

0

255,084

239,107

Name of contact: Victoria Whyte
Telephone number of contact: 020 8047 5000

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc
(Registrant)
Date: November 08, 2016

By: VICTORIA WHYTE

Victoria Whyte
Authorised Signatory for and on
behalf of GlaxoSmithKline plc

