GLAXOSMITHKLINE PLC Form 6-K February 20, 2018

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

For period ending 20 February 2018

GlaxoSmithKline plc (Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F

Form 20-F x Form 40-F

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Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No x

GlaxoSmithKline plc (the 'Company') Shares sold to meet tax liabilities

Following the vesting of awards granted in 2015 under the GlaxoSmithKline 2009 Performance Share Plan on 15 February 2018 and as notified on 16 February 2018, the notifications that follow reflect changes in the interests of Persons Discharging Managerial Responsibilities ('PDMRs') in Ordinary Shares and in American Depositary Shares ('ADSs') of the Company arising from the sale of Ordinary Shares or ADSs to meet tax liabilities.

#### Transaction notification

1. Details of PDMR/person closely associated with them ('PCA')

Ms E Walmsley a) Name

Chief Executive Officer b) Position/status

Initial notification/ c) Initial notification

amendment

Details of the issuer, emission allowance market participant, auction

platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

> Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882

a) Description of the financial instrument

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in

2015 under the Company's 2009 Performance Share

Plan.

Price(s) Volume(s) c) Price(s) and volume(s) £13.0726 65,247 Aggregated information n/a (single transaction)

Aggregated volume Price

b) Nature of the transaction

e) Date of the transaction 2018-02-16

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr R G Connor President, Global

b) Position/status

Manufacturing and Supply

c) Initial notification/ amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882

a) Description of the financial instrument

b) Nature of the transaction

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2015 under the Company's 2009 Performance Share

Plan.

c) Price(s) and volume(s) Price(s) Volume(s) £13.0726 33,446

Aggregated information
Aggregated volume Price

n/a (single transaction)

e) Date of the transaction 2018-02-16

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr N Hirons

b) Position/status SVP, Global Ethics &

Compliance

c) Initial notification/ Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each

place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882

a) Description of the financial instrument

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2015 under the Company's 2009 Performance Share

Plan.

c) Price(s) and volume(s) Price(s) Volume(s) £13.0726 16,216

n/a (single transaction)

d) Aggregated information Aggregated volume Price

b) Nature of the transaction

e) Date of the transaction 2018-02-16

f) Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr B McNamara

b) Position/status CEO, Consumer Healthcare

Initial notification/
Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument GlaxoSmithKline plc

**American Depositary Shares** 

('ADSs')

ISIN: US37733W1053

The sale of ADSs to meet tax liabilities further to the vesting of awards granted in 2015 under the Company's 2009 Performance Share

Plan.

c) Price(s) and volume(s)

Price(s) Volume(s)

\$37.4803 14,389

n/a (single transaction)

d) Aggregated information Aggregated volume Price

b) Nature of the transaction

e) Date of the transaction 2018-02-16

Place of the transaction New York Stock Exchange

(XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr D S Redfern

b) Position/status Chief Strategy Officer

Initial notification/
Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882

a) Description of the financial instrument

b) Nature of the transaction

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2015 under the Company's 2009 Performance Share

Plan.

c) Price(s) and volume(s) Price(s) Volume(s)  $\pm 13.0726$  26,605

d) Aggregated information n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2018-02-16

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Ms C Thomas

b) Position/status SVP, Human Resources

Initial notification/

Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction

platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each

place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882

a) Description of the financial instrument

The sale of Ordinary Shares

to meet tax liabilities for the vesting of awards granted in 2015 under the Company's

2009 Performance Share

n/a (single transaction)

Plan.

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c) Price(s) and volume(s) Price(s) Volume(s) £13.0726 35,473

d) Aggregated information Aggregated volume Price

b) Nature of the transaction

e) Date of the transaction 2018-02-16

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr P C Thomson

b) Position/status SVP, Communications & Government Affairs

c) Initial notification/ Initial notification

amendment

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

> Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882

a) Description of the financial instrument

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2015 under the Company's 2009 Performance Share

Plan.

Price(s) Volume(s) c) Price(s) and volume(s) £13.0726 20,524 Aggregated information n/a (single transaction)

Aggregated volume Price

b) Nature of the transaction

e) Date of the transaction 2018-02-16

London Stock Exchange Place of the transaction

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

Mr D E Troy a) Name

**SVP & General Counsel** b) Position/status

Initial notification/ c)

Initial notification amendment

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument GlaxoSmithKline plc

**American Depositary Shares** 

('ADSs')

ISIN: US37733W1053

The sale of ADSs to meet tax

liabilities further to the vesting of awards granted in 2015 under the Company's 2009 Performance Share

n/a (single transaction)

Plan.

Price(s) Volume(s) c) Price(s) and volume(s) \$37.4803 31,635

Aggregated information Aggregated volume Price

b) Nature of the transaction

e) Date of the transaction 2018-02-16

Place of the transaction New York Stock Exchange f)

(XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Dr P J T Vallance President, R&D b) Position/status

Initial notification/

Initial notification amendment

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each

place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882

a) Description of the financial instrument

b) Nature of the transaction The sale of Ordinary Shares

> to meet tax liabilities for the vesting of awards granted in 2015 under the Company's

2009 Performance Share Plan.

c) Price(s) and volume(s)

Price(s) Volume(s)

£13.0726 73,783

d) Aggregated information n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2018-02-16

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mrs V A Whyteb) Position/status Company Secretary

Initial notification/
Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882

a) Description of the financial instrument

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2015 under the Company's 2009 Performance Share

Plan.

c) Price(s) and volume(s)

Price(s) Volume(s)

£13.0726 4,544

N/a (single transaction)

Aggregated volume Price

b) Nature of the transaction

e) Date of the transaction 2018-02-16

Place of the transaction London Stock Exchange

(XLON)

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc (Registrant)

Date: February 20, 2018

By: VICTORIA WHYTE

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Victoria Whyte Authorised Signatory for and on behalf of GlaxoSmithKline plc