Mattson George N Form 4 December 26, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Estimated average burden hours per response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Mattson George N

DELTA AIR LINES INC /DE/

(Check all applicable)

[DAL]

(Last)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner Officer (give title \_\_ Other (specify

(Month/Day/Year)

C/O DELTA AIR LINES, INC., 12/21/2018

6. Individual or Joint/Group Filing(Check

DEPT. 981, P.O. BOX 20574

(Middle)

(Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ATLANTA,, GA 30320

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |          |              |     |                  |  |   |               |  |
|--------------------------------------|---|--|----------|--------------|-----|------------------|--|---|---------------|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | Execution Date, if any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)  (A) or    |          |              |     | (D) (5)          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |               |  |
| Common<br>Stock                      | 12/21/2018                              |  | Code V P | Amount 2,500 | (D) | Price \$ 49.4131 | 75,030   | D   |               |  |
| Common<br>Stock                      | 12/21/2018                              |  | P        | 2,500        | A   | \$<br>49.4131    | 17,500   | I   | By an LLC (1) |  |
| Common<br>Stock                      |   |  |          |              |     |                  | 2,000  | I   | By Wife       |  |
| Common<br>Stock                      |   |  |          |              |     |                  | 6,000  | I   | By Trusts     |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: Mattson George N - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | Securities<br>Acquired<br>(A) or<br>Disposed |                     | ate                | Amou<br>Under<br>Secur | 7. Title and<br>Amount of<br>Inderlying<br>Securities<br>Instr. 3 and 4) | Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans |
|---|---|---|---|---|--|---------------------|--------------------|------------------------|--|--------------------------------------|---|
|   |   |   |   |   | of (D)<br>(Instr. 3,<br>4, and 5)            |                     |                    |                        |  |                                      | (Instr  |
|   |   |   |   | Code V                                  | (A) (D)                                      | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares                                   |                                      |   |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mattson George N C/O DELTA AIR LINES, INC., DEPT. 981 P.O. BOX 20574 ATLANTA,, GA 30320

X

**Signatures** 

/s/ Jan M. Davidson as attorney-in-fact for George N. Mattson

12/26/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares are owned by a limited liability company controlled by the Reporting Person.
- (2) The shares are owned through trusts under which the wife of the Reporting Person is the trustee and children of the Reporting Person are the beneficial owners of the shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2