Edgar Filing: CARPENTER TECHNOLOGY CORP - Form 4

CARPENTER TECHN Form 4 July 22, 2016	NOLOGY CORI	D							
Check this box if no longer subject to Section 16. Form 4 or Form 5 Fi obligations	TATEMENT O ATEMENT O led pursuant to S ion 17(a) of the 30(h)	Washington F CHANGES 1 SEC Section 16(a) of	on, D.(IN BEI URITI f the Se Iolding	C. 2054 NEFIC IES ecuritie g Comp	19 CIAL s Ex any	OW chang Act of	NERSHIP OF the Act of 1934, f 1935 or Sectio	OMB Number: Expires: Estimated a burden hou response	irs per
(Print or Type Responses)									
1. Name and Address of R HANIFORD JOSEPH	Symbol	CARPENTER TECHNOLOGY				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) C/O CARPENTER T CORPORATION, PC	3. Date of Earlies (Month/Day/Year 07/20/2016					Director 10% Owner Officer (give title Other (specify below) below) Chief Operating Officer			
(Street) READING, PA 19610	4. If Amendment Filed(Month/Day/	ıdment, Date Original h/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City) (State)	(Zip)	Table I - No	on-Deriv	ative Se	curit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned
	ction Date 2A. Dee bay/Year) Executio any (Month/		actionAc Di . 8) (Ir		A) or of (D) and 5 (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock 07/20/20	016	А	2,	,319	A	<u>(1)</u>	7,401	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
HANIFORD JOSEPH E C/O CARPENTER TECHNOLOGY CORPORATION PO BOX 14662 READING, PA 19610			Chief Operating Officer				
Signatures							
Jamas D							

James D. Dee/POA

07/22/2016

<u>**</u>Signature of Reporting Person Date

Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted restricted stock units under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.