

CROWN JAMES S  
Form 4  
October 04, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CROWN JAMES S

2. Issuer Name and Ticker or Trading Symbol  
J P MORGAN CHASE & CO [JPM]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
JPMORGAN CHASE & CO., 270  
PARK AVENUE

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/30/2011

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

NEW YORK, NY 10017

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 09/30/2011                           |  | A <sup>(1)</sup>               |   | 1,743.0278  | A  | \$ 30.12  |
| Common Stock                    |                                      |  |                                |   | 237,546.1411  | D  |   |
| Common Stock                    |                                      |  |                                |   | 559,705   | I  | By Partnership (Crown Fund II) <sup>(2)</sup>         |
| Common Stock                    |                                      |  |                                |   | 10,803  | I  | By Spouse <sup>(2)</sup>                              |
| Common Stock                    |                                      |  |                                |   | 16,571  | I  | By Trust for child (HCC)                              |

|              |  |  |  |  |           |   |   |
|--------------|--|--|--|--|-----------|---|---|
| Common Stock |  |  |  |  | 3,500     | I | Trust) <sup>(2)</sup><br>By Trust for child (SOHC Trust) <sup>(2)</sup> |
| Common Stock |  |  |  |  | 12,256    | I | By Trust for child (VSC Trust) <sup>(2)</sup>                           |
| Common Stock |  |  |  |  | 5,813     | I | By Trust for child (WAHC Trust) <sup>(2)</sup>                          |
| Common Stock |  |  |  |  | 8,872     | I | By IRA  |
| Common Stock |  |  |  |  | 1,547,123 | I | By Partnership (Areljay) <sup>(2)</sup>                                 |
| Common Stock |  |  |  |  | 2,884,154 | I | By Partnership (Henry Crown & Co) <sup>(2)</sup>                        |
| Common Stock |  |  |  |  | 6,019,813 | I | By Partnership (The Crown Fund) <sup>(2)</sup>                          |
| Common Stock |  |  |  |  | 1,570     | I | By Spouse's IRA <sup>(2)</sup>  |
| Common Stock |  |  |  |  | 168,305   | I | By Trust (Crown) <sup>(2)</sup>   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------------|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------------|

(A) or  
Disposed  
of (D)  
(Instr. 3,  
4, and 5)

| Code | V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |
|------|---|-----|-----|---------------------|--------------------|-------|--|
|------|---|-----|-----|---------------------|--------------------|-------|--|

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| CROWN JAMES S<br>JPMORGAN CHASE & CO.<br>270 PARK AVENUE<br>NEW YORK, NY 10017 |               | X         |         |       |

## Signatures

Anthony Horan  
under POA                                      10/04/2011

         \*\*Signature of Reporting                                      Date  
  Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Deferral of quarterly retainer, payable in common stock following termination of service as director.
  - (2) The Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.