#### **JOHNSON & JOHNSON**

Form 4

January 14, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

burden hours per

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5 response...

1(b).

(Print or Type Responses)

| 1. Name and A<br>Caruso Dom | •         | orting Person * | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>JOHNSON & JOHNSON [JNJ] | 5. Relationship of Reporting Person(s) to Issuer                                |  |  |
|-----------------------------|-----------|-----------------|--|---|--|--|
| (Last) (First)              |           | (Middle)        | 3. Date of Earliest Transaction  | (Check all applicable)  |  |  |
|                             |           |                 | (Month/Day/Year)   | Director 10% Owner  |  |  |
| JOHNSON (                   | & JOHNSO  | N, ONE          | 01/10/2014   | X Officer (give title Other (specify  |  |  |
| JOHNSON & JOHNSON PLAZA     |           |                 |  | below) below) VP, Finance; CFO  |  |  |
| (Street)                    |           |                 | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check                                       |  |  |
|                             |           |                 | Filed(Month/Day/Year)  | Applicable Line)  |  |  |
| NEW BRUN                    | NSWICK, N | J 08933         |  | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |  |  |

|   | (City)                      | (State)   | Zip) Table | e I - Non-D   | Perivative S | Securi    | ties Acqu  | iired, Disposed of                                       | , or Beneficiall                                      | y Owned  |
|---|-----------------------------|---|------------|---|--------------|-----------|--|--|---|--|
| S | Title of Security Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |            | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |              |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|   |                             |   |            | Code V  | Amount       | or<br>(D) | Price  | (Instr. 3 and 4)   |   |  |
|   | Common<br>Stock             | 01/10/2014  |            | M   | 12,121       | A         | \$0  | 65,656 <u>(1)</u>  | D   |  |
|   | Common<br>Stock             | 01/10/2014  |            | F   | 4,056<br>(2) | D         | \$<br>94.53  | 61,600   | D   |  |
|   | Common<br>Stock (3)         | 12/31/2013  |            | J   | 4            | A         | (3)  | 68   | I   | Johnson &<br>Johnson<br>Stock<br>Fund in<br>the 401(k)<br>plan |
|   |                             |   |            |   |              |           |  | 225  | I   |  |

| Common    | ESOP in    |
|-----------|------------|
| Stock (4) | the 401(k) |
|           | plan       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | orDeriva<br>Securi<br>Acqui<br>Dispo | 5. Number of dDerivative Expiration Date Expiration Date (Month/Day/Year)  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | e                  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|---------------------------------------|--------------------------------------|---|---------------------|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                | (A)                                  | (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Share<br>Units                        | <u>(5)</u>  | 01/10/2014                              |   | M                                     |                                      | 12,121  | 01/10/2014          | <u>(5)</u>         | Common<br>Stock   | 12,121                              |
| Deferred<br>Share<br>Units                          | <u>(6)</u>  | 12/31/2013                              |   | I                                     | 365                                  |   | <u>(6)</u>          | <u>(6)</u>         | Common<br>Stock   | 365                                 |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                  |       |  |  |  |  |
|--------------------------------|---------------|-----------|------------------|-------|--|--|--|--|
|                                | Director      | 10% Owner | Officer          | Other |  |  |  |  |
| Caruso Dominic J               |               |           |                  |       |  |  |  |  |
| JOHNSON & JOHNSON BLAZA        |               |           | VP, Finance; CFO |       |  |  |  |  |

ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933

**Signatures** 

Linda E. King, as Attorney-in-Fact for Dominic J. 01/14/2014 Caruso

> \*\*Signature of Reporting Person Date

2 Reporting Owners

#### Edgar Filing: JOHNSON & JOHNSON - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 98 shares held under Issuer's Dividend Reinvestment Plan.
- (2) Shares withheld for the payment of taxes upon vesting of Restricted Share Units.
- (3) Shares accrued due to dividend reinvestment in the Johnson & Johnson Stock Fund under the Johnson & Johnson Savings Plan as of the Plan's most recent reporting date (12/31/2013).
- (4) Shares held by ESOP under the Johnson & Johnson Savings Plan as of Plan's most recent reporting date (12/31/2013).
- Each Restricted Share Unit, awarded under Issuer's Long-Term Incentive Plan on January 10, 2011, represents a contingent right to receive one share of Common Stock, and vests three years after date of grant.
- Dividends accrued under the Issuer's Amended and Restated Deferred Fee Plan for Directors. Deferred Share Units are to be settled in cash upon the Reporting Person's Retirement (with each Deferred Share Unit representing the fair market value of one share of Common Stock on the settlement date).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.