## Edgar Filing: DILLARD ALEX - Form 4

DILLARD ALL Form 4 October 25, 20									
FORM	4				~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	OMB AF	PROVAL		
<b>CUNIVI 4</b> UNITED STATES SECURITIES AND EXCHA Washington, D.C. 20549					COMMISSION	OMB Number:	3235-0287		
if no longer subject to Section 16. Form 4 or Form 5 obligations may continu	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction StateMent OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940					Number:January 31,Expires:2005Estimated averageburden hours perresponse0.5			
(Print or Type Res	ponses)								
1. Name and Add DILLARD AL	ress of Reporting Pe LEX	Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol DILLARD'S, INC. [DDS]			5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mic		Earliest Transaction	-	(Check	all applicable	)		
1600 CANTRI	ELL ROAD	(Month/Da 10/23/20	•		_X_ Director10% Owner _X_ Officer (give titleOther (specify below)Dther (specify below) President				
LITTLE ROC	(Street) K, AR 72201		ndment, Date Origina th/Day/Year)	al	6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M	ne Reporting Per	rson		
(City)		(ip) Table	A I - Non-Derivative	Securities Acc	Person juired, Disposed of,	or Beneficial	v Owned		
	2. Transaction Date (Month/Day/Year)	2A. Deemed	3.4. SectTransactior(A) orCode(Instr.	(A) or	5. Amount of ) Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Common Class A	10/23/2017		A 140	$\begin{array}{c} \text{A} \\ \text{S} \\ 55.03 \end{array}$	1 000 318	D			
Common Class A - Retirement Plan					616	D			
Common Class A					41,496 <u>(1)</u>	I	See Footnote $(1)$		
Common Class A					7,300 (2)	Ι	See Footnote		

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									(2)		
Common Class A						36,000	0 <u>(3)</u> I		See Foo (3)	otnote	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 (9-02) required to respond unless the form displays a currently valid OMB control number.											
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of	(Month/Day/Year) Underlying ve Securities ss (Instr. 3 and 4) d		unt of rlying rities	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repor	rting O	wners									
Relationships											

<b>Reporting Owner Name / Address</b>	Ketationships						
	Director	10% Owner	Officer	Other			
DILLARD ALEX 1600 CANTRELL ROAD LITTLE ROCK, AR 72201	Х		President				
Signatures							

/s/ Alex Dillard 10/25/2017 \*\*Signature of Date Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares are held by W.D. Company, Inc. The reporting person owns 27.9% of W.D. Company, Inc. and is one of its directors and officers. W. D. Company, Inc. also holds 3,985,776 shares of Class B Common Stock, which are convertible into shares of Class A Common Stock on a one-for-one basis.

- (2) Trustee of GST Trust
- (3) Owned by Spouse

## **Remarks:**

Remarks: The reporting person disclaims beneficial ownership of the shares reported herein as indirectly beneficially owned,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.