HALE JEAN R Form 5 January 15, 2019

## FORM 5

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0362

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Expires: January 31, 2005
Estimated average

# ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

burden hours per response... 1.0

See Instruction
1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
Reported
Form 4 30(h) of the Investment Company Act of 1940

Form 4

Transactions Reported

1. Name and Address of Reporting Person * HALE JEAN R			2. Issuer Name <b>and</b> Ticker or Trading Symbol COMMUNITY TRUST BANCORP INC /KY/ [CTBI]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) PO BOX 2947	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ende (Month/Day/Year) 12/31/2018	_X_ Director 10% Owner _X_ Officer (give title Other (specify below)			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting  (check applicable line)			

#### PIKEVILLE, KYÂ 41502-2947

\_X\_ Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/26/2018	Â	G	100	D	\$0	127,513.5064	D	Â
Common Stock	07/06/2018	Â	G	100	D	\$0	127,413.5064	D	Â
Common Stock	12/31/2018	Â	<u>J(1)</u>	870.2282	A	\$ 0 (1)	23,359.2703	I	By ESOP
Common Stock	12/31/2018	Â	J <u>(2)</u>	2,812.1813	A	\$ 0 (2)	78,046.6209	I	By 401(k)

#### Edgar Filing: HALE JEAN R - Form 5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

> of D

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative	
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	
		Derivative	ive			Securities			(Instr. 3 and 4)			
Security						Acquired						
						(A) or						
						Disposed						
						of (D)						
						(Instr. 3,						
						4, and 5)						
										Amount		
							Date	Expiration	m: d	or		
							Exercisable	Date	Title	Number		
						(A) (D)				of		
						(A) (D)				Shares		

## **Reporting Owners**

PIKEVILLE, ÂKYÂ 41502-2947

Reporting Owner Name / Address

Director 10% Owner Officer Other

HALE JEAN R

PO BOX 2947 Â X Â Â Chairman, President & CEO Â

## **Signatures**

Jean R. Hale By: Marilyn T. Justice, Attorney-in-Fact 01/15/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

based on plan statement dated December 31, 2018.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. Employee Stock Ownership Plan at a price (1) range of \$51.30 \$44.53 per share in transactions that were exempt from Section 16(b) by virtue of old rule 16a-8(b). The information reported herein is based on plan statement dated December 31, 2018.
- These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. 401(k) Plan at a price range of \$51.30 (2) \$44.53 per share in transactions that were exempt from Section 16(b) by virtue of old rule 16a-8(b). The information reported herein is

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2