

HEICO CORP
Form 4
April 21, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MENDELSON LAURANS A

(Last) (First) (Middle)

3000 TAFT STREET

(Street)

HOLLYWOOD, FL 33021

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
HEICO CORP [HEI, HEI.A]

3. Date of Earliest Transaction
(Month/Day/Year)
04/19/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
COB and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|---|--|---|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | | | | | 592,730 ⁽¹⁾ | D | |
| Class A Common Stock | | | | | 249,558 ⁽¹⁾ | D | |
| Common Stock | 04/19/2017 | | S | | 31,281 | D | \$ 69.0852 |
| | | | | | 1,114,195 ⁽¹⁾ | I | Owned by Partnership ⁽²⁾ |
| Common Stock | 04/20/2017 | | S | | 2,219 | D | \$ 69.192 |
| | | | | | 1,111,976 ⁽¹⁾ | I | Owned by Partnership ⁽²⁾ |

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| | | | | | | | | |
|----------------------|------------|-----|--------|---|-----------|--------------------------|---|---|
| Common Stock | 04/21/2017 | S | 12,500 | D | \$ 69.026 | 1,099,476 ⁽¹⁾ | I | Owned by Partnership ⁽²⁾ |
| Common Stock | 12/15/2016 | G V | 2,950 | D | \$ 0 | 838,037 ⁽¹⁾ | I | Owned by Partnership ⁽³⁾ |
| Class A Common Stock | | | | | | 713 ⁽¹⁾ | I | Owned by Partnership ⁽³⁾ |
| Class A Common Stock | | | | | | 169,393 ⁽¹⁾ | I | Owned by Corporation ⁽⁴⁾ |
| Common Stock | | | | | | 968 ⁽¹⁾ | I | By 401(k) ⁽⁵⁾ |
| Class A Common Stock | | | | | | 1,205 ⁽¹⁾ | I | By 401(k) ⁽⁵⁾ |
| Common Stock | 03/20/2017 | G V | 3,625 | D | \$ 0 | 57,550 ⁽¹⁾ | I | Owned by Charitable Foundation ⁽⁶⁾ |
| Class A Common Stock | | | | | | 39,746 ⁽¹⁾ | I | Owned by Charitable Foundation ⁽⁶⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code V (A) (D) | | Date Exercisable Date | Title Amount or Number | | |

of
Shares

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------|-------|
| | Director | 10% Owner | Officer | Other |
| MENDELSON LAURANS A 3000 TAFT STREET HOLLYWOOD, FL 33021 | X | X | COB and CEO | |

Signatures

/s/ Laurans A.
Mendelson 04/21/2017

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All shares reflect the impact of a 5-for-4 stock split that was effected on April 19, 2017.
- (2) Represents shares owned by LAM Limited Partners, a partnership whose sole general partner is a corporation controlled by Arlene Mendelson, the wife of the Reporting Person.
Represents shares owned by LAM Alpha Limited Partners, a partnership whose sole general partner is a corporation controlled by the Reporting Person and reflects the contribution of 10,625 shares of HEICO Common Stock to the Laurans A. and Arlene H. Mendelson Charitable Foundation Inc. on December 5, 2016.
- (3) Represents shares owned by Mendelson International Corporation, a corporation of which the Reporting Person is the Chairman of the Board. The Reporting Person disclaims beneficial ownership of securities held by Mendelson International Corporation.
- (5) Represents shares held for the benefit of the Reporting Person by the HEICO Corporation 401(k), based on a plan statement dated April 19, 2017.
Represents shares owned by the Laurans A. and Arlene H. Mendelson Charitable Foundation, Inc. (the Foundation), a non-profit Charitable Corporation and includes the receipt of 10,625 shares of HEICO Common Stock from LAM Alpha Limited Partners on December 5, 2016. The Reporting Person disclaims beneficial ownership of securities held by the Foundation.
- (6)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.