#### FLEETBOSTON FINANCIAL CORP

Form 4

January 28, 2003

## FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

> Filed By Romeo and Dye's Section 16 Filer www.section16.net

Common Stock	01/27/03		S		2,000	D	\$24.97	7	116,527	D			
	,	(Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)		(111811. 4)	(111841. 4)		
	(Month/ Day/ Year)	if any (Month/Day/	(Instr. 8	í –	1	(4)	D .	Owned Follow-		or Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
(Instr. 3) Date Date,					(Instr. 3, 4	& 5)		Beneficially		(_ )	Beneficial		
	action	Execution	action Code		or Dispose		9)	Securities			Indirect		
1. Title of	2. Trans-	2A. Deemed	3. Trans	s-				5. Amount of		6. Owner-	7. Nature of		
(City)	(State) (	Zip)	1	able	e I Non-I	)erivat	ive Secu	ırities Acquired,	Dispose	d of, or Benef	icially Owned		
								Reporting Person					
											More than One		
Boston, MA 021	Boston, MA 02110						(Mo	nth/Day/Year)		X Form filed by One Reporting Person			
										Check Applicable Line)			
	(Street)							Amendment,		. Individual or Joint/Group Filing			
								xecutive Vice eneral Couns	President and el				
100 Federal Str	cci												
FleetBoston Financial Corporation 100 Federal Street			ii aii eii	шу (	(voiuntary)		01/2	11/03	O	Other (specify below)			
	of Reporting Person, if an entity (voluntary)					nth/Day/Year 27/03		X Officer (give title below)					
(Last)	(First) (M	fiddle)			entification l	Numbe		tatement for	_	Director  Owner	_		
Spiess Gary A.											all applicable)		
					n Financial		Pε	Person(s)					
1. Name and Add			me <b>and</b> Tion			6. Relationship of Reporting Person(s)							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

## FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Derivati	ØMonth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative		if any		Securiti	<b>X</b> ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acquire	d			Following	ative	
		Day/	Day/	8)	(A) or				Reported	Security:	

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

# Edgar Filing: FLEETBOSTON FINANCIAL CORP - Form 4

Year)	Year)			Dispof (Ins: 3, 4	D) tr.	d					Direct (D) or Indirect (I) (Instr. 4)	
		Code	V	(A)		Exer-cisable			Amount or Number of Shares			

Explanation of Responses:

By: /s/ <u>Gary A. Spiess</u> Gary A. Spiess

01/27/03 Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).