Edgar Filing: SEMROD T JOSEPH - Form 5

### SEMROD T JOSEPH

Form 5 January 29, 2003

\_ Check this box if no

Form 4 or Form 5

See Instruction 1(b).

\_ Form 3 Holdings

\_ Form 4 Transactions

Reported

Reported

### FORM 5

longer subject to Section 16.

obligations may continue.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### OMB APPROVAL

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# ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Add	2. Issuer Nan FleetBoston			6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
CLast) FleetBoston Fine 301 Carnegie Co	3. I.R.S. Identification Number of Reporting Person,				Statement for onth/Year	to Issuer (Check all applicable)  X Director 10% Owner Officer (give title below) Other (specify below)			
Princeton, NJ 08					te of Original (onth/Year)	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)			Table	I Non-De	rivativ	e Sec	urities Acquired, Dispo		
1. Title of Security (Instr. 3)	action Date	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Code (Instr. 8)	4. Securitie (A) or Disp (Instr. 3, 4 Amount	osed of & 5)		Beneficially	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/10/02		G	310	D		(4.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0	D	
Common Stock	11/15/02		G	1,380	D			D	
Common Stock	12/11/02		G	2,600	D			D	
Common Stock	12/17/02		G	20	D			D	
Common Stock	12/23/02		G	310,102	D		200,200(1)	D	
Common Stock							1,089	I	By Spouse
Common Stock	11/15/02		G	200	A		1,359	I	By Spouse as Custodian
Common Stock	12/23/02		G	310,102	A		310,102(1)	I	By Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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## FORM 5 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

_						_								
1. Title of	2. Conver-	3.	3A.	4.	5.	6	6. Date Exercisable		7. Titl	le and	8. Price of	9. Number	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Numb	mberand Expiration		Amount of D		Derivative	of	Owner-	of Indirect	
Security	Exercise	action	Execution	action	of	E	Date		Underlying		Security	Derivative	ship	Beneficial
	Price of	Date	Date,	Code	Deriva	atiø	Month/Day/		Secur	rities	(Instr. 5)	Securities	Form	Ownership
(Instr. 3)	Derivative		if any		Secur	itie¥	(ear)		(Instr.	. 3 & 4)		Beneficially	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acqui	ired						Owned	ative	
		Day/	Day/	8)	(A) or	r						at End of	Security:	
		Year)	Year)		Dispo	sed						Year	Direct	
					of (D)	)						(Instr. 4)	(D)	
												(======================================	or	
					(Instr.								Indirect	
					3, 4 &	ž							(I)	
					5)							(Instr. 4)		
					(A) (I	D) [	Date	Expira-	Title	Amount				
						E	Exer-cisable	tion		or				
								Date		Number				
										of				
										Shares				

Explanation of Responses:

(1) Reflects the transfer of 310,102 shares from directly owned to a trust.

By: /s/ <u>T. Joseph Semrod</u> T. Joseph Semrod 01/27/03 Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).