## Edgar Filing: U S GLOBAL INVESTORS INC - Form 4

U S GLOBA Form 4 July 01, 2016	L INVESTORS I	NC									
<b>FORM</b> Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	<b>4</b> UNITED S s box er 5. 5. 5. 5. 5. 5. 5. 5. 5. 5.	ENT OF CH	Wasl HAN( ion 16 lic Uti	hington, GES IN H SECURI 5(a) of the ility Hold	D.C. 209 BENEFI ITIES Securiti ing Com	549 [CIA] ies Ez ipany	L OW	COMMISSION /NERSHIP OF ge Act of 1934, of 1935 or Sectio /40	OMB Number: Expires: Estimated a burden hou response	irs per	
(Print or Type R	lesponses)										
MCGEE SUSAN B Symbol			S GLOBAL INVESTORS INC				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 3. Date of (Month/Da 7900 CALLAGHAN ROAD 06/30/20			-				Director 10% Owner X_ Officer (give title Other (specify below) below) President/General Counsel				
				mendment, Date Original /lonth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
SAN ANTO	NIO, TX 78229							Form filed by Person	More than One R	eporting	
(City)	(State) (	Zip)	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Y	,	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	l (A) o l of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A common stock	06/30/2016	06/30/2016	j	J <u>(1)</u>	127	А	\$ 1.7	102,098	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	<ol> <li>5.</li> <li>tionNumber of</li> <li>Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)</li> </ol>	5	Date	Amou Unde Secur	ele and unt of rlying rities - 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
MCGEE SUSAN B 7900 CALLAGHAN ROAD SAN ANTONIO, TX 78229			President/General Counsel				
Signatures							

Susan B. McGee	07/01/2016
******	D.

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) restricted stock purchase under employee stock purchase plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.