

Edgar Filing: BOKACH PETER M - Form 4

BOKACH PETER M  
 Form 4  
 December 07, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Bokach, Peter M.  
  
 5200 Blazer Parkway  
 Dublin, Ohio 43017
2. Issuer Name and Ticker or Trading Symbol  
 Ashland Inc.  
 ASH
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 November 30, 2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 Vice President
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	5. Amount of Securities Beneficially Owned at End of Month
Common Stock				15,140 (1)
Common Stock	11-7-01	I (2)	1,207	10,282 (3)
Common Stock				16,638 (4)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Derivative Acquired (A) or Disposed of (D)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Put or Call
						Title and Number of Shares	

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Quantity	Date	Code	V	Amount	Expirable	Instrument	Quantity
Option (5)	23.875				9-21-93	10-21-02	Common Stock 1,500
	23.875				9-21-94	10-21-02	Common Stock 750
	23.875				9-21-95	10-21-02	Common Stock 750
Option (6)	33.125				9-16-94	10-16-03	Common Stock 2,500
	33.125				9-16-95	10-16-03	Common Stock 1,250
	33.125				9-16-96	10-16-03	Common Stock 1,250
Option (7)	35.875				9-15-95	10-15-04	Common Stock 2,500
	35.875				9-15-96	10-15-04	Common Stock 1,250
	35.875				9-15-97	10-15-04	Common Stock 1,250
Option (7)	33.875				9-21-96	10-21-05	Common Stock 2,500
	33.875				9-21-97	10-21-05	Common Stock 1,250
	33.875				9-21-98	10-21-05	Common Stock 1,250
Option (7)	39.00				9-19-97	10-19-06	Common Stock 2,500
	39.00				9-19-98	10-19-06	Common Stock 1,250
	39.00				9-19-99	10-19-06	Common Stock 1,250
Option (8)	53.375				9-18-98	10-18-07	Common Stock 2,500
	53.375				9-18-99	10-18-07	Common Stock 1,250
	53.375				9-18-00	10-18-07	Common Stock 1,250
Option (8)	48.00				9-17-99	10-17-08	Common Stock 2,500
	48.00				9-17-00	10-17-08	Common Stock 1,250

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	48.00						9-17-	10-17-	Common Stock	1,250	
							01	-08			
Option (8)	36.625						9-16-	10-16-	Common Stock	15,000	
							00	-09			
	36.625						9-16-	10-16-	Common Stock	15,000	
							01	-09			
	36.625						9-16-	10-16-	Common Stock	15,000	
							02	-09			
	36.625						9-16-	10-16-	Common Stock	15,000	
							03	-09			
Option (9)	36.38						9-20-	10-20-	Common Stock	7,500	
							02	-11			
	36.38						9-20-	10-20-	Common Stock	3,750	
							03	-11			
	36.38						9-20-	10-20-	Common Stock	3,750	
							04	-11			
Common Stock Units (10	1-for-1		J		49		A			Common Stock	49
)											

Explanation of Responses:

1. Shares accrued under Ashland's Leveraged Employee Stock Ownership Plan as of 11-30-01.
2. Intraplan transfer in Ashland's Employee Saving Plan.
3. Based on Employee Savings Plan information as of 12-3-01 and includes transactions occurring on or after 10-1-01.
4. Joint with wife.
5. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Long-Term Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
6. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Amended Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
7. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's 1993 Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
8. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's 1997 Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
9. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Amended and Restated Incentive Plan. The employee stock option includes a tax withholding feature pursuant to

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the plan.

10. Common Stock Units acquired pursuant to Ashland's 1995 Deferred Compensation Plan as of 11-30-01 and includes transactions on or after 10-1-01, and exempt under Rule 16b-3(d). The price of the Common Stock Units on applicable valuation dates has varied from \$39.910 - \$43.170. (One (1) Common Stock Unit in the 1995 Deferred Compensation Plan is the equivalent of one (1) share of Ashland Common Stock)

SIGNATURE OF REPORTING PERSON

Peter M. Bokach