#### Edgar Filing: FIRST BUSINESS FINANCIAL SERVICES, INC. - Form 4

FIRST BUSINESS FINANCIAL SERVICES, INC.

Form 4

Stock

Stock

Stock

Common

Common

Common

12/12/2016

12/12/2016

December 13, 2016

December 13	, 2010										
FORM 4 UNITED STATES SECUDITIES AND EXCHANGE COMMISSION							OMB APPROVAL				
Washington, D.C. 20549								OMB Number:	3235-0287		
if no long subject to Section 10 Form 4 or Form 5 obligation may conti	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction  See Instruction  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES  SECURITIES  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires: January 3 200 Estimated average burden hours per response 0		
(Print or Type R	esponses)										
Batson Charles H Symbol FIRST I				Name and BUSINES CES, INC.	S FINA			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Mo			3. Date of (Month/D 12/12/20	•	ansaction			Director 10% Owner Sofficer (give title Other (specify below) below)  President and CEO of FBCC*			
			endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
MADISON,	WI 53719							Form filed by M Person	ore than One Rep	porting	
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactio Code (Instr. 8)	(Instr. 3,	sposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	12/12/2016			S	1,238	D	\$ 23.75	32,011	D		

\$ 23.7 31,811

\$ 23.5 31,164

13,200

D

D

I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

S

200

647

D

D

By IRA

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transaction	5. orNumber	6. Date Exerc Expiration Da		7. Title		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code Oay/Year) (Instr. 8)		(Month/Day/	Year) Underly Securiti (Instr. 3		ying les	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title N	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Batson Charles H 401 CHARMANY DRIVE MADISON, WI 53719

President and CEO of FBCC\*

## **Signatures**

Kathy A. Hayes (pursuant to Power of Attorney previously filed)

12/13/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

\*Charles H. Batson is President and Chief Executive Offficer of First Business Capital Corp.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2