FLUIDIGM CORP Form 3

August 17, 2015 **FORM 3**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement FLUIDIGM CORP [FLDM] **UNGER MARC** (Month/Day/Year) 08/06/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **FLUIDIGM** (Check all applicable) CORPORATION, Â 7000 SHORELINE COURT SUITE 10% Owner Director 100 _X__ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group See Remarks Filing(Check Applicable Line) _X_ Form filed by One Reporting Person **SOUTH SAN** Form filed by More than One FRANCISCO. CAÂ 94080 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Â Common Stock 2,401 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 1. Title of Derivative Security 6. Nature of Indirect 2. Date Exercisable and 5. (Instr. 4) **Expiration Date** Securities Underlying Beneficial Ownership Conversion Ownership (Month/Day/Year) Derivative Security or Exercise Form of (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	08/26/2020	Common Stock	934	\$ 4.4461	D	Â
Employee Stock Option (Right to Buy)	(1)	01/04/2021	Common Stock	4,850	\$ 8.3732	D	Â
Employee Stock Option (Right to Buy)	(1)	01/04/2021	Common Stock	270	\$ 8.3732	D	Â
Employee Stock Option (Right to Buy)	(2)	03/05/2022	Common Stock	20,000	\$ 14.55	D	Â
Employee Stock Option (Right to Buy)	(3)	02/15/2023	Common Stock	55,000	\$ 16.73	D	Â
Employee Stock Option (Right to Buy)	(4)	03/14/2024	Common Stock	14,000	\$ 47.55	D	Â
Employee Stock Option (Right to Buy)	(4)	03/14/2024	Common Stock	12,000	\$ 47.55	D	Â
Employee Stock Option (Right to Buy)	(5)	03/12/2025	Common Stock	12,000	\$ 41.14	D	Â
Restricted Stock Units	(6)	(7)	Common Stock	3,734	\$ 0	D	Â
Restricted Stock Units	(8)	(7)	Common Stock	3,200	\$ 0	D	Â
Restricted Stock Units	(9)	(7)	Common Stock	4,401	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Keiauonsnips				
• U	Director	10% Owner	Officer	Other	
UNGER MARC					
FLUIDIGM CORPORATION	â	â	See Remarks	Â	
7000 SHORELINE COURT SUITE 100	Α	A	Remarks	А	
SOUTH SAN FRANCISCO, CA 94080					

Signatures

Valerie Barnett,	08/17/2015		
attorney-in-fact	06/1//2013		
**Signature of Reporting Person	Date		

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Option is fully vested.
- (2) 1/48th of the shares subject to the Option vested on January 1, 2012 and 1/48th of the shares subject to the Option vested on February 1, 2012 and each month thereafter, such that the Option will be fully vested on January 1, 2016.
- (3) 1/48th of the shares subject to the Option vested on January 1, 2013 and 1/48th of the shares subject to the Option vested on February 1, 2013 and each month thereafter, such that the Option will be fully vested on January 1, 2017.
- (4) 1/48th of the shares subject to the Option vested on January 1, 2014 and 1/48th of the shares subject to the Option vested on February 1, 2014 and each month thereafter, such that the Option will be fully vested on January 1, 2018.
- (5) 2/48th of the shares subject to the Option vested on March 12, 2015 and 1/48th of the shares subject to the Option vest on April 1, 2015 and each month thereafter, such that the Option will be fully vested on January 1, 2019.
- On March 14, 2014, the Reporting Person was originally granted 5,600 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2014, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.
- (7) Each Restricted Stock Unit represents the contingent right to receive one share of FLDM common stock upon vesting of the unit.
- On March 14, 2014, the Reporting Person was originally granted 4,800 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2014, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.
- On March 12, 2015, the Reporting Person was originally granted 4,800 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2015, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.

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Remarks:

Sr. VP, R&D & Single-Cell Biology Marketing

Exhibit List

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.