Mauro Anthony Form 4 March 06, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mauro Anthony

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Mylan N.V. [MYL]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director \_X\_\_ Officer (give title

10% Owner Other (specify

**BUILDING 4, TRIDENT PLACE,** 

(Street)

**MOSQUITO WAY** 

03/02/2018

below)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Chief Commercial Officer

Person

HATFIELD, HERTFORDSHIRE, X0 AL10 9UL

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Ordinary Shares	03/02/2018		M	4,757 (1)	A	\$ 22.66	147,435	D		
Ordinary Shares	03/02/2018		S	12,257	D	\$ 40.35	135,178	D		
Ordinary Shares	03/03/2018		M	5,534	A	\$ 0	140,712	D		
Ordinary Shares	03/03/2018		F	2,310 (2)	D	\$ 40.97	138,402	D		
Ordinary Shares	03/04/2018		M	2,056	A	\$ 0	140,458	D		

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Ordinary Shares	03/04/2018	F	895 (3)	D	\$ 40.97	139,563	D	
Ordinary Shares	03/04/2018	M	13,880	A	\$ 0	153,443	D	
Ordinary Shares	03/04/2018	F	5,759 (4)	D	\$ 40.97	147,684	D	
Ordinary Shares						5,574	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A or No of
Employee Stock Option - Right to Buy	\$ 22.66	03/02/2018		M		4,757	<u>(5)</u>	03/02/2021	Ordinary Shares	4
Employee Stock Option - Right to Buy	\$ 40.97	03/02/2018		A	29,833		<u>(6)</u>	03/02/2028	Ordinary Shares	2
Restricted Stock Units	\$ 0	03/02/2018		A	18,307		<u>(7)</u>	<u>(7)</u>	Ordinary Shares	1
Restricted Stock Units	\$ 0	03/03/2018		M		5,534	(8)	(8)	Ordinary Shares	4
Restricted Stock Units	\$ 0	03/04/2018		M		2,056	(9)	<u>(9)</u>	Ordinary Shares	2
Performance Restricted Stock Units	\$ 0	03/04/2018		M		13,880	(10)	(10)	Ordinary Shares	1

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# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Officer

Director 10% Owner

Other

Mauro Anthony BUILDING 4, TRIDENT PLACE, MOSQUITO WAY HATFIELD, HERTFORDSHIRE, X0 AL10 9UL

Chief Commercial Officer

#### **Signatures**

/s/ Kevin Macikowski, by power of attorney

03/06/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the acquisition of Mylan ordinary shares upon exercise of stock options granted on March 2, 2011.
- Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of a portion of the restricted stock units (RSUs) granted on March 3, 2017.
- (3) Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of a portion of the RSUs granted on November 17, 2015.
- (4) Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of the performance restricted stock units (PRSUs) granted on November 17, 2015.
- (5) The grant, of which these options were a part, vested in three equal installments beginning on March 2, 2012.
- (6) 9,945 of the options will vest on March 2, 2019, and 9,944 of the options will vest on each of March 2, 2020 and March 2, 2021. The options expire on March 2, 2028.
- (7) Each RSU represents the right to receive one ordinary share of Mylan N.V. 6,103 of the RSUs will vest on March 2, 2019, and 6,102 will vest on each of March 2, 2020 and March 2, 2021.
- (8) Each RSU represents the right to receive one ordinary share of Mylan N.V. 5,534 of the RSUs granted on March 3, 2017 vested on March 3, 2018, 5,534 will vest on March 3, 2019, and 5,533 will vest March 3, 2020.
- (9) Each RSU represents the right to receive one ordinary share of Mylan N.V. 2,057 of the RSUs granted on November 17, 2015 vested on March 4, 2016, and 2,056 vested on each of March 4, 2017 and March 4, 2018.
- Each PRSU represents the right to receive one ordinary share of Mylan N.V. The PRSUs were initially granted on November 17, 2015, subject to the attainment of previously established two-year performance goals and a vesting period. The PRSUs fully vested on March 4, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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