

Parks Kenneth Scott
Form 4
March 05, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Parks Kenneth Scott

(Last) (First) (Middle)

BUILDING 4, TRIDENT PLACE,
MOSQUITO WAY

(Street)

HATFIELD,
HERTFORDSHIRE, X0 AL10 9UL

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Mylan N.V. [MYL]

3. Date of Earliest Transaction
(Month/Day/Year)
03/01/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Ordinary Shares | 03/02/2019 | | M | | 6,103 A \$ 0 | 15,541 | D |
| Ordinary Shares | 03/02/2019 | | F | | 2,672 (1) D \$ 27.45 | 12,869 | D |
| Ordinary Shares | 03/03/2019 | | M | | 3,984 A \$ 0 | 16,853 | D |
| Ordinary Shares | 03/03/2019 | | F | | 1,745 (2) D \$ 27.45 | 15,108 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Option - Right to Buy | \$ 27.45 | 03/01/2019 | | A | 27,851 | <u>(3)</u> 03/01/2029 | Ordinary Shares 27,851 |
| Restricted Stock Units | \$ 0 | 03/01/2019 | | A | 46,631 | <u>(4)</u> <u>(4)</u> | Ordinary Shares 46,631 |
| Restricted Stock Units | \$ 0 | 03/02/2019 | | M | 6,103 | <u>(5)</u> <u>(5)</u> | Ordinary Shares 6,103 |
| Restricted Stock Units | \$ 0 | 03/03/2019 | | M | 3,984 | <u>(6)</u> <u>(6)</u> | Ordinary Shares 3,984 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Parks Kenneth Scott BUILDING 4, TRIDENT PLACE, MOSQUITO WAY HATFIELD, HERTFORDSHIRE, X0 AL10 9UL | | | Chief Financial Officer | |

Signatures

/s/ Kevin Macikowski, by power of attorney 03/05/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of a portion of the restricted stock units (RSUs) granted on March 2, 2018.
- (2) Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of a portion of the RSUs granted on March 3, 2017.
- (3) 9,284 of the options will vest on March 2, 2020, 9,283 will vest on March 2, 2021, and 9,284 will vest on March 2, 2022. The options expire on March 1, 2029.
- (4) Each RSU represents the right to receive one ordinary share of Mylan N.V. 15,544 of the RSUs will vest on March 2, 2020, 15,543 will vest on March 2, 2021, and 15,544 will vest on March 2, 2022.
- (5) Each RSU represents the right to receive one ordinary share of Mylan N.V. 6,103 of the RSUs granted on March 2, 2018 vested on March 2, 2019, and 6,102 will vest on each of March 2, 2020 and March 2, 2021.
- (6) Each RSU represents the right to receive one ordinary share of Mylan N.V. 3,985 of the RSUs granted on March 3, 2017 vested on March 3, 2018, 3,984 vested on March 3, 2019, and 3,984 will vest on March 3, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.