Nichols Dana L Form 4 March 05, 2019

## FORM 4

Check this box

if no longer

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Section 16.

Form 4 or

Form 5

Obligations

Section 16(a) of the Securities Exchange A of 16(a) of the Secu

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

may continue.

| 1. Name and Address of Reporting Person ** Nichols Dana L |               |             | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer                                    |  |  |  |
|---|---------------|-------------|--|---|--|--|--|
|   | QCR HOL       |             | QCR HOLDINGS INC [QCRH]                            | (Check all applicable)  |  |  |  |
| (Last)  | (First)       | (Middle)    | 3. Date of Earliest Transaction                    |   |  |  |  |
|   |               |             | (Month/Day/Year)                                   | Director 10% Owner  |  |  |  |
| 3551 SEVENTH STREET, SUITE                                |               |             | 03/01/2019   | _X_ Officer (give title Other (specify  |  |  |  |
| 204   |               |             |  | below) below) EVP, Chief Credit Officer   |  |  |  |
|   | (Street)      |             | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check   |  |  |  |
|   |               |             | Filed(Month/Day/Year)                              | Applicable Line)  |  |  |  |
| MOLINE, IL 61265  |               |             |  | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
|   |               |             |  | FCISOII   |  |  |  |
| (City)  | (State)       | (Zip)       | Table I - Non-Derivative Securities Ac             | equired, Disposed of, or Beneficially Owned   |  |  |  |
| 1 Title of  | 2 Transaction | Date 2A Dee | med 3 4 Securities Acquired                        | 5 Amount of 6 7 Nature  |  |  |  |

| (City)                               | (State)                                 | (Zip) Tabl  | e I - Non-D                            | erivative                             | Secui                        | rities Acq  | uired, Disposed o  | of, or Beneficial  | lly Owned   |
|--------------------------------------|---|---|--|---------------------------------------|------------------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 03/01/2019                              |   | F(1)                                   | 192                                   | D                            | \$ 36       | 2,926  | D  |   |
| Common<br>Stock                      | 03/01/2019                              |   | M                                      | 135                                   | A                            | \$<br>44.15 | 3,061  | D  |   |
| Common<br>Stock                      |   |   |  |                                       |                              |             | 15,000   | I  | by IRA  |
| Common<br>Stock                      |   |   |  |                                       |                              |             | 3,513.15   | I  | by<br>Managed<br>Account  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**OMB APPROVAL** 

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SEC 1474 (9-02)

## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Convers<br>or Exerc<br>Price of<br>Derivati<br>Security | ion (Month/Day/Yea<br>ise<br>ve | ate 3A. Deemed Execution Date, if any (Month/Day/Year) | Code   | Derivative |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Ame<br>Underlying Sect<br>(Instr. 3 and 4) |                      |
|---|---|---------------------------------|--|--------|------------|-----|--|--------------------|---|----------------------|
|   |   |                                 |  | Code V | (A)        | (D) | Date Exercisable   | Expiration<br>Date | Title   | or<br>No<br>of<br>Sh |
| Performan<br>Shares                                 | \$ 36 <u>9</u>  | 03/01/2019                      | )  | A      | 628        | , , | 03/01/2020(2)  | 03/01/2029         | Common<br>Stock   |                      |
| Performan<br>Shares                                 | \$ 44.  | 03/01/2019                      | )  | M      |            | 135 | <u>(3)</u>   | <u>(3)</u>         | Common<br>Stock   |                      |
| Non-Qual<br>Stock Opt<br>(right to b                | ion \$ 9.30   | 08                              |  |        |            |     | 02/01/2013(4)  | 02/01/2022         | Common<br>Stock   | 2                    |
| Non-Qual<br>Stock Opt<br>(right to b                | ion \$ 15.0   | 65                              |  |        |            |     | 02/01/2014(4)  | 02/01/2023         | Common<br>Stock   | 1                    |
| Non-Qual<br>Stock Opt<br>(right to b                | ion \$ 17.  | 1                               |  |        |            |     | 02/03/2015(4)  | 02/03/2024         | Common<br>Stock   | 1                    |
| Non-Qual<br>Stock Opt<br>(right to b                | ion \$ 17.8   | 36                              |  |        |            |     | 01/01/2016(4)  | 01/01/2025         | Common<br>Stock   | 2                    |
| Non-Qual<br>Stock Opt<br>(right to b                | ion \$ 22.0   | 54                              |  |        |            |     | 02/01/2017(5)  | 02/01/2026         | Common<br>Stock   | 1                    |
| Non-Qual<br>Stock Opt<br>(right to b                | ion \$ 42.7   | 75                              |  |        |            |     | 03/09/2018(5)  | 03/09/2027         | Common<br>Stock   |                      |

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Nichols Dana L

3551 SEVENTH STREET

SUITE 204

EVP, Chief Credit Officer

**Signatures** 

MOLINE, IL 61265

By: Shellee R. Showalter For: Dana L.

Nichols

03/05/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Surrender of common stock to issuer upon vesting of restricted stock award to pay withholding taxes
- Restricted stock unit grant. Each restricted stock unit represents a contingent right to receive one share of QCR Holdings, Inc. common
- (2) stock or the cash equivalent of one share of common stock. The restricted stock units vest in four annual equal installments beginning on March 1, 2020.
  - Restricted stock unit grant. Each restricted stock unit represents a contingent right to receive one share of QCR Holdings, Inc. common
- (3) stock or the cash equivalent of one share of common stock. The restricted stock units vest in four annual equal installments beginning on March 1, 2019.
- (4) These options are exercisable in annual increments of 20% each, with the first 20% vesting on the first anniversary of the option grant.
- (5) These options are exercisable in annual increments of 25% each, with the first 25% vesting on the first anniversary of the option grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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