#### Edgar Filing: SIMPSON MANUFACTURING CO INC /CA/ - Form 4

SIMPSON MANUFACTURING CO INC /CA/ Form 4 October 30, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Kingsfather Phillip Terry Issuer Symbol SIMPSON MANUFACTURING CO (Check all applicable) INC /CA/ [NYSE:SSD] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 5956 W. LAS POSITAS BLVD. 10/29/2013 President, Simpson Strong-Tie (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting PLEASANTON, CA 94588 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 10/29/2013 Х 2,000 Α \$ 33.62 13,913 D Stock Common \$ 10/29/2013 Х 2,000 D 11,913 D 35.6296 Stock Common Stock (RSUs 7,334 (1) D awarded February 6, 2013) 9,519<sup>(2)</sup> D

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Common Stock (RSUs awarded January 30, 2012)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactie Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Options on Common Stock	\$ 33.62	10/29/2013		Х	2,000	02/02/2007 <u>(3)</u>	02/01/2014	Common Stock	2,000
Options on Common Stock	\$ 29.66					02/03/2012 <u>(3)</u>	02/03/2018	Common Stock	53,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Kingsfather Phillip Terry							
5956 W. LAS POSITAS BLVD.			President, Simpson Strong-Tie				
PLEASANTON, CA 94588							

# Signatures

PHILLIP TERRY KINGSFATHER

10/30/2013

<u>\*\*</u>Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Amount includes 7,334 shares of restricted stock units (RSUs) awarded on February 6, 2013. RSUs vest three quarters on the third
   (1) anniversary of the award date and one quarter on the fourth anniversary of the award date. The 7,334 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.

Amount includes 9,519 shares of restricted stock units (RSUs) awarded on January 30, 2012. RSUs vest one fourth on each of the award(2) date and the first, second and third anniversaries of the award date. The 9,519 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.

(3) This date represents the date of the first annual vesting period. This option vests equally over four years beginning with the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.