# Edgar Filing: SIMPSON MANUFACTURING CO INC /CA/ - Form 4

### SIMPSON MANUFACTURING CO INC /CA/

Form 4

February 07, 2017

redition of,									OMD AT	NDDOV/AL	
<b>FORM</b>	$14_{\text{UNITED}}$	STATES	SECUR	ITIFS A	ND FX	СНА	NGE C	'OMMISSION		PPROVAL	
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check this box							Expires:	January 31,			
if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant				SECUR	ITIES				Estimated average burden hours per response 0.5		
obligation may continue of the see Instruction (b).	ns Section 17(a	a) of the P	ublic Ut	* *	ding Con	npan	y Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type F	Responses)										
Arevalo Ricardo M Symb			Symbol	Name and				5. Relationship of Reporting Person(s) to Issuer			
		SIMPSON MANUFACTURING CO INC /CA/ [SSD]					(Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)					Director 10% Owner Other (specify below)			
5956 W. LAS POSITAS 02				017				COO, Simpson Strong-Tie			
Filed(Mor				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
PLEASANI	TON, CA 94588							Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ion Date 2A. Deemed y/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock (RSUs awarded February 4, 2017)	02/04/2017			Code V		(D)	Price \$ 43.42	(Instr. 3 and 4) 4,423 (1)	D		
Common Stock (PRSUs awarded February 4, 2017)	02/04/2017			A	8,859	A	\$ 43.42	8,859 (2)	D		

### Edgar Filing: SIMPSON MANUFACTURING CO INC /CA/ - Form 4

Common Stock (RSUs awarded February 1, 2016)	4,974 (3)	D
Common Stock (PRSUs awarded February 1, 2016)	6,126 <u>(4)</u>	D
Common Stock (RSUs awarded February 2, 2015)	2,170 (5)	D
Common Stock (RSUs awarded February 3, 2014)	3,210 <u>(6)</u>	D
Common Stock (RSUs awarded February 6, 2013)	2,415 <u>(7)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. orNumber of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. Nu Deriv Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Owne
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				

#### Edgar Filing: SIMPSON MANUFACTURING CO INC /CA/ - Form 4

4, and 5)

Date Expiration Exercisable Date

Code V (A) (D)

Amount or Number of Shares

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Arevalo Ricardo M 5956 W. LAS POSITAS PLEASANTON, CA 94588

COO, Simpson Strong-Tie

## **Signatures**

/s/RICARDO M. 02/07/2017 AREVALO

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Amount includes 4,423 shares of restricted stock units (RSUs) awarded on February 4, 2017. RSUs vest one fourth on February 15, 2017, (1) February 15, 2018, February 15, 2019, and February 15, 2020. The 4,423 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 8,859 shares of restricted stock units (RSUs) awarded on February 4, 2017. RSUs vest fully on February 15, 2020, subject to the Company's performance on revenue growth (50%) and return on invested capital (50%) versus the Company's peer group median. The 8,859 shares assume that the number of shares that vest are based on targeted performance and are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 4,974 shares of restricted stock units (RSUs) awarded on February 1, 2016. RSUs vest one fourth on each of the award date and the first, second and third anniversaries of the award date. The 4,974 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 6,126 shares of restricted stock units (RSUs) awarded on February 1, 2016. RSUs vest fully on the third anniversary of the award date subject to the Company's relative performance versus the S&P Smallcap 600 Index. The 6,126 shares assume that the maximum number of shares will vest, based on performance at the highest level, and are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 2,170 shares of restricted stock units (RSUs) awarded on February 2, 2015. RSUs vest three fourths on the third

  (5) anniversary of the award date and one fourth on the fourth anniversary of the award date. The 2,170 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 3,210 shares of restricted stock units (RSUs) awarded on February 3, 2014. RSUs vest one fourth on each of the award (6) date and the first, second and third anniversaries of the award date. The 3,210 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- (7) Amount was reduced by 25 shares to reflect the actual number of shares vested after withholding shares for the payment of income and other taxes due at the time of vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3