#### MKS INSTRUMENTS INC

Form 4 April 27, 2006

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

3235-0287 Number:

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

1. Name and Address of Reporting Person \*

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Stock

(Print or Type Responses)

|                          |                     |                                      |                                | Symbol MKS INSTRUMENTS INC [MKSI] |                                                                              |                           |     | I                                                      | Issuer                                                                       |                   |                       |  |
|--------------------------|---------------------|--------------------------------------|--------------------------------|-----------------------------------|------------------------------------------------------------------------------|---------------------------|-----|--------------------------------------------------------|------------------------------------------------------------------------------|-------------------|-----------------------|--|
|                          |                     |                                      |                                |                                   |                                                                              |                           | ·   | MIXOI                                                  | (Check all applicable)                                                       |                   |                       |  |
| (Last) (First) (Middle)  |                     |                                      |                                |                                   |                                                                              | Transaction               | 1   |                                                        |                                                                              |                   |                       |  |
| 8000 W. FLORISSANT AVE.  |                     |                                      | (Month/Day/Year)<br>04/25/2006 |                                   |                                                                              |                           |     | DirectorX 10% Owner Officer (give title Other (specify |                                                                              |                   |                       |  |
| OUUU W. FLURISSAINI AVE. |                     |                                      |                                | 04/23/2000                        |                                                                              |                           |     |                                                        | below) below)                                                                |                   |                       |  |
|                          |                     | (Street)                             |                                | 4. If Am                          | 4. If Amendment, Date Original                                               |                           |     |                                                        | 6. Individual or Joint/Group Filing(Check                                    |                   |                       |  |
|                          |                     |                                      | Filed(M                        | onth/Day/Ye                       | ear)                                                                         |                           | A   | Applicable Line)                                       |                                                                              |                   |                       |  |
|                          |                     |                                      |                                |                                   |                                                                              |                           |     | -                                                      | Form filed by One Reporting Person _X_ Form filed by More than One Reporting |                   |                       |  |
|                          | ST LOUIS            | , MO 63136                           |                                |                                   |                                                                              |                           |     | Person                                                 |                                                                              |                   |                       |  |
|                          | (City)              | (State)                              | (Zip)                          | Tal                               | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially C |                           |     |                                                        |                                                                              |                   |                       |  |
|                          |                     |                                      |                                |                                   |                                                                              |                           |     | _                                                      |                                                                              |                   |                       |  |
|                          | 1.Title of Security | 2. Transaction Date (Month/Day/Year) | 2A. Deem Execution             |                                   | 3.                                                                           | 4. Securit<br>onor Dispos |     | equired (A)                                            | 5. Amount of Securities                                                      | 6. Ownership      | 7. Nature of Indirect |  |
|                          | (Instr. 3)          | (Wolldin Day Tear)                   | any                            | Date, II                          | Code                                                                         | (Instr. 3,                |     |                                                        | Beneficially                                                                 | Form:             | Beneficial            |  |
|                          | ` ′                 |                                      | (Month/Da                      | ay/Year)                          | (Instr. 8)                                                                   | ` '                       |     |                                                        | Owned                                                                        | Ownership         |                       |  |
|                          |                     |                                      |                                |                                   |                                                                              |                           |     |                                                        | Following                                                                    | or Indirect       | (Instr. 4)            |  |
|                          |                     |                                      |                                |                                   |                                                                              |                           | (A) |                                                        | Reported<br>Transaction(s)                                                   | (I)<br>(Instr. 4) |                       |  |
|                          |                     |                                      |                                |                                   | G 1 W                                                                        |                           | or  | ъ.                                                     | (Instr. 3 and 4)                                                             | (msu. 1)          |                       |  |
|                          |                     |                                      |                                |                                   | Code V                                                                       | Amount                    | (D) | Price                                                  |                                                                              |                   | Through a             |  |
|                          | Common              | 04/25/2006                           |                                |                                   | S                                                                            | 15,000                    | D   | \$ 24.316                                              | 7,221,711                                                                    | I                 | subsidiary            |  |
|                          | Stock               | 04/23/2000                           |                                |                                   | 3                                                                            | 13,000                    | D   | (1)                                                    | 7,221,711                                                                    | 1                 | (2)                   |  |
|                          |                     |                                      |                                |                                   |                                                                              |                           |     |                                                        |                                                                              |                   |                       |  |
|                          | Common              | 0.4.10.6.10.00.6                     |                                |                                   | ~                                                                            | 4 7 000                   | _   | \$                                                     |                                                                              | Ţ.                | Through a             |  |
|                          | Stock               | 04/26/2006                           |                                |                                   | S                                                                            | 15,000                    | D   | 24.0333                                                | 7,206,711                                                                    | I                 | subsidiary            |  |
|                          |                     |                                      |                                |                                   |                                                                              |                           |     | (3) (4)                                                |                                                                              |                   | (2)                   |  |
|                          | Common              |                                      |                                |                                   |                                                                              |                           |     |                                                        | 1,065,182                                                                    | D (5)             |                       |  |
|                          | Stock               |                                      |                                |                                   |                                                                              |                           |     |                                                        | 1,005,102                                                                    | <u> </u>          |                       |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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## displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion                            | 3. Transaction Date |                                               | 4.              | 5.<br>ionNumber | 6. Date Exerc       |                    | 7. Tit |                                        | 8. Price of Derivative | 9. Nu<br>Deriv                                            |
|------------------------|------------------------------------------|---------------------|-----------------------------------------------|-----------------|-----------------|---------------------|--------------------|--------|----------------------------------------|------------------------|-----------------------------------------------------------|
| Security (Instr. 3)    | or Exercise Price of Derivative Security | (Month/Day/Year)    | execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | of              | <b>.</b>            |                    | Under  | rlying                                 | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |                                          |                     |                                               | Code V          | 7 (A) (D)       | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |                        |                                                           |

### **Reporting Owners**

| Reporting Owner Name / Address                                       | Relationships |           |         |       |  |  |  |
|----------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|--|
| · · · · · · · · · · · · · · · · · · ·                                | Director      | 10% Owner | Officer | Other |  |  |  |
| EMERSON ELECTRIC CO<br>8000 W. FLORISSANT AVE.<br>ST LOUIS, MO 63136 |               | X         |         |       |  |  |  |
| ASTEC AMERICA INC<br>5810 VAN ALLEN WAY<br>CARLSBAD, CA 92008        |               | X         |         |       |  |  |  |

## **Signatures**

| /s/ Harley M. Smith, Assistant Secretary for Emerson Electric Co. | 04/27/2006 |
|-------------------------------------------------------------------|------------|
| **Signature of Reporting Person                                   | Date       |
| /s/ Harley M. Smith, Secretary for Astec America Inc.             | 04/27/2006 |
| ***Signature of Reporting Person                                  | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales were effected in multiple transactions, at varying prices, on April 25, 2006, as follows: 987 shares at \$24.30; 10,352 at \$24.31; (1) 982 at \$24.32; 1,200 at \$24.33; 13 at \$24.34; 808 at \$24.35; and 658 at \$24.36. The weighted average sales price for these transactions was \$24.3160 per share.
- (2) The reported securities are owned directly by Astec America Inc. The Reporting Person is the ultimate parent company of Astec America Inc.

Reporting Owners 2

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- The sales were effected in multiple transactions, at varying prices, on April 26, 2006, as follows and as described in Footnote 4 below:

  100 shares at \$23.51; 200 at \$23.52; 400 at \$23.63; 100 at \$23.64; 200 at \$23.65; 100 at \$23.69; 95 at \$23.70; 100 at \$23.77; 100 at \$23.80; 200 at \$23.82; 100 at \$23.86; 200 at \$23.90; 100 at \$23.91; 300 at \$23.99; 200 at \$24.01; 200 at \$24.02; 877 at \$24.03; and 622 at \$24.04.
- This footnote sets forth additional detail with respect to the transactions described in Footnote 3, as follows: 1,500 shares at \$24.05; 1,500 (4) at \$24.06; 1,100 at \$24.07; 600 at \$24.08; 1,000 at \$24.09; 200 at \$24.10; 1,400 at \$24.11; 1,000 at \$24.12; 1,000 at \$24.13; 700 at \$24.14; 500 at \$24.15; 300 at \$24.16; and 6 at \$24.17. The weighted average sales price for these transactions was \$24.0333 per share.
- (5) The reported securities are owned directly by Emerson Electric Co.

#### **Remarks:**

See Exhibit 99.1 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.