

Edgar Filing: SANT ROGER W - Form SC 13G/A

SANT ROGER W  
Form SC 13G/A  
February 18, 2004

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

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SCHEDULE 13G  
(Rule 13d-102)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT  
TO RULES 13d-1(b), (c), AND (d) AND AMENDMENTS THERETO FILED  
PURSUANT TO RULE 13d-2(b)  
(Amendment No. 13)1

The AES Corporation

-----  
(Name of Issuer)

Common Stock, Par Value \$0.01

-----  
(Title of Class of Securities)

001300H105

-----  
(CUSIP Number)

Check the appropriate box to designate the rule pursuant to which this  
Schedule is filed:

/ / Rule 13d-1(b)

/ / Rule 13d-1(c)

/X/ Rule 13d-1(d)

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1 The remainder of this cover page shall be filled out for a reporting person's  
initial filing on this form with respect to the subject class of securities, and  
for any subsequent amendment containing information which would alter  
disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be  
deemed to be "filed" for the purpose of Section 18 of the Securities Exchange  
Act of 1934 or otherwise subject to the liabilities of that section of the Act  
but shall be subject to all other provisions of the Act (however, see the  
Notes).

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CUSIP No. 001300H1055

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1. NAMES OF REPORTING PERSONS.  
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Roger W. Sant

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

United States of America

5. SOLE VOTING POWER

NUMBER OF SHARES  
BENEFICIALLY OWNED BY  
EACH REPORTING PERSON WITH

6. SHARED VOTING POWER

7. SOLE DISPOSITIVE POWER

8. SHARED DISPOSITIVE POWER

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

10. CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

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11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

12. TYPE OF REPORTING PERSON\*

\* SEE INSTRUCTIONS BEFORE FILLING OUT!

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Page

1. NAMES OF REPORTING PERSONS.  
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Victoria P. Sant

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

United States of America

5. SOLE VOTING POWER

NUMBER OF SHARES  
BENEFICIALLY OWNED BY  
EACH REPORTING PERSON WITH

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6. SHARED VOTING POWER

7. SOLE DISPOSITIVE POWER

8. SHARED DISPOSITIVE POWER

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

10. CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

12. TYPE OF REPORTING PERSON\*

\* SEE INSTRUCTIONS BEFORE FILLING OUT!

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Item 1(a).

Name of Issuer:

The AES Corporation (the "Company")

Item 1(b).

Address of Issuer's Principal Executive Offices:

1001 N. 19th Street  
Arlington, VA 22209

Item 2(a).

Names of Persons Filing:

Roger W. Sant and Victoria P. Sant.

Item 2(b).

Address of Principal Business Office or, if none, Residence:

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Roger W. Sant has a business address at 1001 N. 19th Street, Arlington, VA. All correspondence should be sent to Victoria P. Sant in care of Roger W. Sant.

Item 2(c).  
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Citizenship:  
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United States of America

Item 2(d).  
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Title of Class of Securities:  
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Common Stock, par value \$0.01 per share (the "Shares") of the Company

Item 2(e).  
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CUSIP Number:  
-----

001300H1055

Item 3.  
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If This Statement is Filed Pursuant to Rule 13d-1(b), or 13d-2(b) or (c),  
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Filing is a:.  
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Not applicable.

Item 4.  
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Ownership.  
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(a) Amount Beneficially Owned:

27,497,504 shares

(b) Percent of Class:

4.4% (based on the 622,687,808 Shares reported by  
the Company to be outstanding as of October 31,  
2003)

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(c)

Roger W. Sant has dispositive and voting power with respect to 585,849 Shares he holds directly, 222,860 Shares held in an Individual Retirement Account, 59,285 units under the Deferred Compensation Plan for Executive Officers, and 11,225.55 units under the Deferred Compensation Plan for Directors. Roger W. Sant currently has the right to acquire direct beneficial ownership (within the meaning of Rule 13d-3(d)(1)) of 850,866 Shares upon the exercise of stock options. Roger W. Sant may be deemed to share dispositive and voting power with respect to 35,000 Shares held in N. Street Trust.

Victoria P. Sant holds dispositive and voting power

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with respect to 259,484 Shares held in an Individual Retirement Account.

Roger W. Sant and Victoria P. Sant share dispositive and voting power with respect to 12,023,628 Shares held in Trust for Roger W. Sant and 13,449,306 Shares held in Trust for Victoria P. Sant.

Each of Roger W. Sant and Victoria P. Sant disclaims beneficial ownership of shares held by the other except as set forth above.

Item 5. Ownership of Five Percent or Less of a Class.  
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If this statement is being filed to report the fact that as of the date hereof the reporting persons have ceased to be the beneficial owners of more than five percent of the class of securities, check the following [X].

Item 6. Ownership of More Than Five Percent on Behalf of Another Person.  
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Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Se  
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the Parent Holding Company.  
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Not applicable.

Item 8. Identification and Classification of Members of the Group.  
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Not Applicable.

Item 9. Notice of Dissolution of Group.  
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Not Applicable.

Item 10. Certifications.  
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Not applicable.

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SIGNATURE

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After reasonable inquiry and to the best of their knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct.

Dated: February 17, 2004

\*/s/ Joshua C. LaGrange  
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Name/Title: Joshua C. LaGrange for Roger W. Sant  
\*By Power of Attorney, dated  
February 13, 2003, incorporated  
by reference to Exhibit A to  
the Registrant's Schedule 13G  
filed on February 14, 2003.

After reasonable inquiry and to the best of their knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct.

Dated: February 17, 2004

\*/s/ Joshua C. LaGrange  
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Name/Title: Joshua C. LaGrange for Victoria P. Sant  
\*By Power of Attorney, dated  
February 13, 2003, incorporated  
by reference to Exhibit B to  
the Registrant's Schedule 13G  
filed on February 14, 2003.

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