

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

TRI CONTINENTAL CORP

Form N-23C-1

February 11, 2002

1 PROCESS DATE 01/31/02 MONTHLY FORM 23C-1 REPORT PAGE NO. 1
BMFR479-1-M-01

076-SELIGMAN GROUP OF FUNDS
097-TRI-CONTINENTAL CORPORATION - COMMON PRICE DATE 12/19/01

| 0 | | ORDER | COST | ORD |
|---|-----------------------------------|---------|--------|-------|
| 0 | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK & TRUST CO CUST | 512.351 | 18.720 | 9,591 |

PRICE DATE TOTALS 512.351 9,591

1 PROCESS DATE 01/31/02 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS
097-TRI-CONTINENTAL CORPORATION - COMMON PR

| 0 | | ORDER | COST | ORD |
|---|-------------------------------------|---------|--------|-------|
| 0 | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 126.529 | 18.690 | 2,364 |

PRICE DATE TOTALS 126.529 2,364

1 PROCESS DATE 01/31/02 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS
097-TRI-CONTINENTAL CORPORATION - COMMON PR

| 0 | | ORDER | COST | ORD |
|---|-------------------------------------|-----------|--------|--------|
| 0 | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | GIACOMINA BELLITTI | 200.000 | 18.850 | 3,770 |
| 0 | JAMES G MOORE TR | 22.957 | 18.850 | 432 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 3.153 | 18.850 | 59 |
| 0 | LAMAR J JARRARD & | 26.578 | 18.850 | 501 |
| 0 | BERNARD CROOM | 53.103 | 18.850 | 1,001 |
| 0 | BETTY SAMPLE FITZ | 4,377.291 | 18.850 | 82,511 |
| 0 | FRANCES W FOLEY | 26.578 | 18.850 | 501 |
| 0 | MINERVA DAMON LUDEWIG | 177.814 | 18.850 | 3,351 |
| 0 | HERMAN STEINBERG | 2,160.000 | 18.850 | 40,716 |
| 0 | PETER STRAUS | 53.103 | 18.850 | 1,001 |
| 0 | JONATHAN MARK STRAUS | 26.578 | 18.850 | 501 |
| 0 | NICHOLAS E SCHWARTZ | 746.573 | 18.850 | 14,072 |
| 0 | HENRY ZWEIG | 13.316 | 18.850 | 251 |
| 0 | PHILIP C HEUER JR | 80.665 | 18.850 | 1,520 |
| 0 | ROBERT J METTNER | 1.925 | 18.850 | 36 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 88.302 | 18.850 | 1,664 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 400.053 | 18.850 | 7,541 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 583.554 | 18.850 | 11,000 |
| 0 | ARRY DANE & | 128.403 | 18.850 | 2,420 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 41.167 | 18.850 | 776 |
| 0 | CYNTHIA G JOHNSON CUST | 53.050 | 18.850 | 1,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 328.912 | 18.850 | 6,200 |
| 0 | NANCY C AHERN CUST | 291.777 | 18.850 | 5,500 |

1 PROCESS DATE 01/31/02 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS
097-TRI-CONTINENTAL CORPORATION - COMMON PR

| 0 | | ORDER | COST | ORD |
|---|----------------------|---------|--------|-------|
| 0 | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | WILLIAM A POSKA CUST | 60.000 | 18.850 | 1,131 |
| 0 | WILLIAM A POSKA CUST | 100.000 | 18.850 | 1,885 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|-----------|---------------------------|---------|
| 0 | H LEE MUNSON AND | 7.363 | 18.850 | 138 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 11.106 | 18.850 | 209 |
| 0 | DIANE K FRAZIER | 265.252 | 18.850 | 5,000 |
| 0 | RALEIGH A HUGHES JR & | 26.578 | 18.850 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 530.557 | 18.850 | 10,001 |
| 0 | JACK BRAIMAN TTEE | 5.358 | 18.850 | 101 |
| 0 | CASIMER J SZALKOWSKI & | 1,000.000 | 18.850 | 18,850 |
| 0 | WILLIAM P RZEPECKI & | 79.629 | 18.850 | 1,501 |
| 0 | DONALD G KILGORE JR | 6,188.273 | 18.850 | 116,648 |
| 0 | JAMES J BORNHEIMER CUST | 70.000 | 18.850 | 1,319 |
| 0 | ROBERT F GREENBERG | 307.816 | 18.850 | 5,802 |
| 0 | LAURA GOODWIN MEEKER CUST | 635.630 | 18.850 | 11,981 |
| 0 | ELIZABETH FITZGERALD CUST | 500.000 | 18.850 | 9,425 |
| 0 | G SHIRLEY KRATOVILLE | 200.000 | 18.850 | 3,770 |
| 0 | CAROL PARISI & | 26.578 | 18.850 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 63.713 | 18.850 | 1,201 |
| 0 | ROBERT KRESS & | 250.000 | 18.850 | 4,712 |
| 0 | DAVID A ZOLL CUST | 50.900 | 18.850 | 959 |
| 0 | E ARTHUR DRESKIN | 265.305 | 18.850 | 5,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 132.626 | 18.850 | 2,500 |
| 0 | INVESTORS FIDUCIARY TRUST CO TTEE | 461.699 | 18.850 | 8,703 |
| | THE J & W SELIGMAN & CO., INC | | | |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|---------------------------|--------|
| | | ORDER | COST | PR |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | DIANE THILMONT | 15.968 | 18.850 | 301 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 53.050 | 18.850 | 1,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 66.366 | 18.850 | 1,251 |
| 0 | JOHN E COULAHAN & | 477.507 | 18.850 | 9,001 |
| 0 | LAURA GOOD MEEKER CUST | 330.851 | 18.850 | 6,236 |
| 0 | MAUREEN DOHERTY CAUGHRAN | 643.910 | 18.850 | 12,137 |
| 0 | RUTH J JACOBS & | 21.273 | 18.850 | 401 |
| 0 | MARK S MARKS CUST | 53.050 | 18.850 | 1,000 |
| 0 | PAUL H HARDWICK TR UA 11/29/90 | 50.053 | 18.850 | 943 |
| 0 | DAVID MICHAEL O'BRIEN | 228.107 | 18.850 | 4,299 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.663 | 18.850 | 201 |
| 0 | JACK M CHERTOCK & | 10.663 | 18.850 | 201 |
| 0 | DOUGLAS J MAWBY & | 10.600 | 18.850 | 199 |
| 0 | CHARLES E ALLEN | 530.504 | 18.850 | 10,000 |
| 0 | ARTHUR E WILDER & | 242.921 | 18.850 | 4,579 |
| 0 | SCHUYLER HOLLINGSWORTH JR & | 53.103 | 18.850 | 1,001 |
| 0 | ROBERT T ABBOTT & | 525.141 | 18.850 | 9,898 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 65.000 | 18.850 | 1,225 |
| 0 | WILLIAM E MCCARTHY TR | 1,107.461 | 18.850 | 20,875 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 106.154 | 18.850 | 2,001 |
| 0 | LOUIS A WEHRLE & | 63.713 | 18.850 | 1,201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 1.426 | 18.850 | 26 |
| 0 | MARY ELLEN PETERS & | 13.852 | 18.850 | 261 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-----------------------------------|--|--------|---------|
| | | ORDER | COST | PR |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | JACK R JONES & | 363.016 | 18.850 | 6,842 |
| 0 | KIRK D VSETECKA | 106.101 | 18.850 | 2,000 |
| 0 | MARI REBANE MCGEE TTEE | 18.621 | 18.850 | 351 |
| 0 | STATE STREET BANK & TRUST CO CUST | 10.348 | 18.850 | 195 |
| 0 | PETER J SCHMEIDLER EXEC | 5,312.831 | 18.850 | 100,146 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|----------------|---------|--------|-------|
| 0 | MARNIE BECKNER | 53.050 | 18.850 | 1,000 |
| 0 | JEFF V DAVIS | 355.682 | 18.850 | 6,704 |

| | | | | |
|---|-----------------------|------------|---------------------------|---------|
| | PRICE DATE TOTALS | 32,024.220 | | 603,656 |
| 1 | PROCESS DATE 01/31/02 | | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|---|-------------------------------------|--|---------------------------|--------|
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | AVERILL V SUMMER CUST | 13.502 | 18.900 | 255 |
| 0 | JOHN N LICARI CUST | 105.820 | 18.900 | 2,000 |
| 0 | MARK C SELLO | 2,254.216 | 18.900 | 42,604 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 800.000 | 18.900 | 15,120 |
| 0 | LOIS J CLARK | 1,384.978 | 18.900 | 26,176 |
| 0 | MARGUERITE HOBBIE & | 2,116.402 | 18.900 | 40,000 |
| 0 | E NORMAN ADAMS & | 2,498.542 | 18.900 | 47,222 |
| 0 | BARBARA TULLIO CUST | 125.676 | 18.900 | 2,375 |
| 0 | LEONARD K ADLER & | 86.398 | 18.900 | 1,632 |
| 0 | JAMES P DITZ & | 1,013.506 | 18.900 | 19,155 |
| 0 | ROBERTA A KIMBALL CUST | 5.259 | 18.900 | 99 |
| 0 | AUDREY B RHINO | 1,741.356 | 18.900 | 32,911 |
| 0 | GRACE H WALLACE | 4.091 | 18.900 | 77 |
| 0 | ROBERT J PEIRCE | 2,134.001 | 18.900 | 40,332 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 132.275 | 18.900 | 2,500 |
| 0 | JOMA MACHINERY CO LTD | 1,600.000 | 18.900 | 30,240 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 529.101 | 18.900 | 10,000 |
| 0 | HARRY RUSH GUNTHER | 2,645.503 | 18.900 | 50,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 79.365 | 18.900 | 1,500 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 523.810 | 18.900 | 9,900 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 123.985 | 18.900 | 2,343 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 122.373 | 18.900 | 2,312 |
| 0 | LEITH V WATKINS CUST | 295.424 | 18.900 | 5,583 |
| 1 | PROCESS DATE 01/31/02 | | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|---|-------------------------------------|--|--------|--------|
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 873.016 | 18.900 | 16,500 |
| 0 | JEAN SUSAN BLAUVELT CUST | 158.730 | 18.900 | 3,000 |
| 0 | JEAN SUSAN BLAUVELT CUST | 52.910 | 18.900 | 1,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 529.101 | 18.900 | 10,000 |
| 0 | MYRL ROSE HINCKLEY | 110.000 | 18.900 | 2,079 |
| 0 | DAVID A KING | 5.413 | 18.900 | 102 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 264.550 | 18.900 | 5,000 |
| 0 | IRA KRAUSS CUST | 900.000 | 18.900 | 17,010 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 1,517.040 | 18.900 | 28,672 |
| 0 | JANE M FOWLER TR U/A 7/22/87 | 322.751 | 18.900 | 6,100 |
| 0 | ROSE HOFFMAN TR U/A 12/14/87 | 404.769 | 18.900 | 7,650 |
| 0 | IRWIN FRIEDMAN | 63.695 | 18.900 | 1,203 |
| 0 | MARGARET BARNICLE | 75.000 | 18.900 | 1,417 |
| 0 | RICHARD VAN GORDEN | 39.020 | 18.900 | 737 |
| 0 | DEBORAH RUBIN | 500.000 | 18.900 | 9,450 |
| 0 | JENNIFER MARTINEZ CUST | 77.152 | 18.900 | 1,458 |
| 0 | SUSAN MCDONOUGH | 52.910 | 18.900 | 1,000 |
| 0 | FRANK W GEROLD CUST | 500.000 | 18.900 | 9,450 |
| 0 | JOHN LANDY | 623.823 | 18.900 | 11,790 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 634.921 | 18.900 | 12,000 |
| 0 | ROBERT J SYPEK & | 100.000 | 18.900 | 1,890 |
| 0 | PETER B FARROW CUST | 52.910 | 18.900 | 1,000 |
| 0 | JOHN ELWOOD KALIN | 63.525 | 18.900 | 1,200 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

1 PROCESS DATE 01/31/02 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|--------|--------|
| | | ORDER | COST | PR |
| | | SHARES | PRICE | DOLL |
| 0 | REGISTRATION | | | |
| 0 | EUGENE HAROLD RAGUE TR UA 5/24/82 | 529.101 | 18.900 | 10,000 |
| 0 | INVESTORS FIDUCIARY TRUST CO TTEE | 16.953 | 18.900 | 320 |
| | THE J & W SELIGMAN & CO., INC | | | |
| 0 | ANGELO VOXAKIS CUST | 160.200 | 18.900 | 3,027 |
| 0 | IVY INGRAM LARSON | 793.651 | 18.900 | 15,000 |
| 0 | LUBY, OLSON, MANGO, GAFFNEY & | 1,058.201 | 18.900 | 20,000 |
| 0 | LUBY, OLSON, MANGO, GAFFNEY & | 264.550 | 18.900 | 5,000 |
| 0 | JEAN E DAVIS CUST | 100.000 | 18.900 | 1,890 |
| 0 | MIRIAM KIPERMAN & | 507.760 | 18.900 | 9,596 |
| 0 | MARY BOLTON LYNCH | 2,984.000 | 18.900 | 56,397 |
| 0 | MICHAEL R O'CALLAGHAN | 170.000 | 18.900 | 3,213 |
| 0 | WILLIAM ALLEN SLIMPIN | 158.730 | 18.900 | 3,000 |
| 0 | TIMOTHY J O'CONNOR CUST | 106.455 | 18.900 | 2,012 |
| 0 | JEAN H SLIMPIN TR UA 3-4-92 | 158.730 | 18.900 | 3,000 |
| 0 | LEZLEY TAYLOR | 211.640 | 18.900 | 4,000 |
| 0 | DAVID TESAR | 370.370 | 18.900 | 7,000 |
| 0 | JAMES R KING | 175.000 | 18.900 | 3,307 |
| 0 | CASEY CREAMER | 52.910 | 18.900 | 1,000 |
| 0 | CASEY CREAMER | 52.910 | 18.900 | 1,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 350.964 | 18.900 | 6,633 |
| 0 | KENNETH DE FELICE CUST | 733.075 | 18.900 | 13,855 |
| 0 | ANNE T TRUITT | 132.275 | 18.900 | 2,500 |
| 0 | LEWIS DANIEL HANKINS | 349.780 | 18.900 | 6,610 |

1 PROCESS DATE 01/31/02 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-----------------------|--|--------|--------|
| | | ORDER | COST | PR |
| | | SHARES | PRICE | DOLL |
| 0 | REGISTRATION | | | |
| 0 | ELAINE R EVANGELISTA | 642.267 | 18.900 | 12,138 |
| 0 | TREVA N WILCOX & | 2,212.031 | 18.900 | 41,807 |
| 0 | ERIC LEE EICHHORST | 50.000 | 18.900 | 945 |
| 0 | PATRICIA S CLARK TTEE | 105.820 | 18.900 | 2,000 |
| 0 | MARILYN J KIDDER | 1,626.226 | 18.900 | 30,735 |
| 0 | JOHN D NUGENT | 51.655 | 18.900 | 976 |
| 0 | KENNETH DEFELICE & | 777.794 | 18.900 | 14,700 |
| 0 | TERESA A MARKLE | 61.580 | 18.900 | 1,163 |
| 0 | ALBERT N D'AMICO & | 34.306 | 18.900 | 648 |
| 0 | LAB & CO | 2,836.509 | 18.900 | 53,610 |
| 0 | JAMES D MCCOY & | 29.173 | 18.900 | 551 |
| 0 | MARGARET D PERRY | 103.484 | 18.900 | 1,955 |
| 0 | MABEL ROSTANT & | 210.000 | 18.900 | 3,969 |

PRICE DATE TOTALS

46,434.919

877,619

1 PROCESS DATE 01/31/02 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|----------------|--|--------|-------|
| | | ORDER | COST | PR |
| | | SHARES | PRICE | DOLL |
| 0 | REGISTRATION | | | |
| 0 | WILLIAM D KING | 216.000 | 18.900 | 4,082 |

PRICE DATE TOTALS

216.000

4,082

1 PROCESS DATE 01/31/02 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|--------------|-------------------------------------|----------|--|--|--------|--------|
| | | | | ORDER | COST | ORD |
| REGISTRATION | | | | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 33.478 | 18.900 | 632 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 7.914 | 18.900 | 149 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 105.820 | 18.900 | 2,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 29.154 | 18.900 | 551 |
| 0 | JOEL J RE | | | 7.990 | 18.900 | 151 |
| 0 | HAROLD G WRIGHT & | | | 264.603 | 18.900 | 5,001 |
| 0 | MARIE H BLISS TR UA 11/4/88 | | | 52.963 | 18.900 | 1,001 |
| 0 | MARGUERITE HOBBIE & | | | 105.873 | 18.900 | 2,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 4.358 | 18.900 | 82 |
| 0 | ROSELLE G FRIEDMAN | | | 317.513 | 18.900 | 6,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 129.732 | 18.900 | 2,451 |
| 0 | NORMA C ATKINSON | | | 26.508 | 18.900 | 501 |
| 0 | H GORDON CHRISTIAN & JEAN | | | 13.281 | 18.900 | 251 |
| 0 | JULIA G HASSON | | | 42.381 | 18.900 | 801 |
| 0 | RAYMOND L HAWTHORNE | | | 52.963 | 18.900 | 1,001 |
| 0 | DOUGLAS IRWIN & CAROL | | | 7.990 | 18.900 | 151 |
| 0 | HAROLD L MESSENGER | | | 1,376.508 | 18.900 | 26,016 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 68.875 | 18.900 | 1,301 |
| 0 | THELMA J PROUDFIT | | | 238.148 | 18.900 | 4,501 |
| 0 | WILLIAM L PROUDFIT | | | 238.148 | 18.900 | 4,501 |
| 0 | WILLIAM M SCHLEIER | | | 52.963 | 18.900 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 87.355 | 18.900 | 1,651 |
| 0 | DANIEL M WILSON & | | | 158.783 | 18.900 | 3,001 |
| 1 | PROCESS DATE | 01/31/02 | | MONTHLY FORM 23C-1 REPORT | | |

076-SELIGMAN GROUP OF FUNDS

| | | | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|--------------|-------------------------------------|----------|--|--|--------|--------|
| | | | | ORDER | COST | ORD |
| REGISTRATION | | | | SHARES | PRICE | DOLL |
| 0 | JOEL P CHERRY | | | 7.990 | 18.900 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 5.344 | 18.900 | 101 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 2,684.224 | 18.900 | 50,731 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 178.152 | 18.900 | 3,367 |
| 0 | GAIL R INGRAM | | | 21.217 | 18.900 | 401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 63.737 | 18.900 | 1,204 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 78.952 | 18.900 | 1,492 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 1,087.204 | 18.900 | 20,548 |
| 0 | KITTY FLETCHER | | | 52.963 | 18.900 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 7.990 | 18.900 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 42.753 | 18.900 | 808 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 7.328 | 18.900 | 138 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 238.879 | 18.900 | 4,514 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 18.601 | 18.900 | 351 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 3.582 | 18.900 | 67 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 132.328 | 18.900 | 2,501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 37.090 | 18.900 | 701 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 31.799 | 18.900 | 601 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 63.545 | 18.900 | 1,201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 21.888 | 18.900 | 413 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 112.688 | 18.900 | 2,129 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 73.775 | 18.900 | 1,394 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 440.990 | 18.900 | 8,334 |
| 1 | PROCESS DATE | 01/31/02 | | MONTHLY FORM 23C-1 REPORT | | |

076-SELIGMAN GROUP OF FUNDS

| | | | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|--------------|-------------------------------------|--|--|--|--------|------|
| | | | | ORDER | COST | ORD |
| REGISTRATION | | | | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 52.165 | 18.900 | 985 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|----------|---------------------------|--------|
| 0 | STATE STREET BANK AND TRUST CO CUST | 21.217 | 18.900 | 401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 51.557 | 18.900 | 974 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 73.586 | 18.900 | 1,390 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 31.611 | 18.900 | 597 |
| 0 | RUTH ANNE HERTERICH | 3.968 | 18.900 | 75 |
| 0 | STANLEY E GWYNN & | 158.783 | 18.900 | 3,001 |
| 0 | MARGARET DALE SEABROOK | 238.148 | 18.900 | 4,501 |
| 0 | WILLIAM J SCHLACTER | 10.635 | 18.900 | 201 |
| 0 | ALBERT HANEN & | 105.873 | 18.900 | 2,001 |
| 0 | JOHN ALLEN CORP EMPLOYEE PENSION | 529.154 | 18.900 | 10,001 |
| 0 | EDDIE PUSKAS & | 15.979 | 18.900 | 302 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 52.963 | 18.900 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 6.931 | 18.900 | 131 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 19.894 | 18.900 | 376 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 132.328 | 18.900 | 2,501 |
| 0 | ELISABETH H BROOKS | 52.963 | 18.900 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 50.051 | 18.900 | 945 |
| 0 | MARINETTE D PUTNAM | 52.963 | 18.900 | 1,001 |
| 0 | PHYLLIS TROY | 18.572 | 18.900 | 351 |
| 0 | PEGGY LANGE | 39.736 | 18.900 | 751 |
| 0 | L BENTON AUSTIN & | 10.635 | 18.900 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 1.392 | 18.900 | 26 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|---------------------------|-------|
| | | ORDER | COST | PR |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 52.963 | 18.900 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 7.345 | 18.900 | 138 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 27.719 | 18.900 | 523 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 6.892 | 18.900 | 130 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.899 | 18.900 | 508 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 27.990 | 18.900 | 529 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 158.783 | 18.900 | 3,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 269.460 | 18.900 | 5,092 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 52.963 | 18.900 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.635 | 18.900 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 174.656 | 18.900 | 3,301 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 17.446 | 18.900 | 329 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 35.847 | 18.900 | 677 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 45.406 | 18.900 | 858 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 17.668 | 18.900 | 333 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 87.112 | 18.900 | 1,646 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 87.112 | 18.900 | 1,646 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 2.169 | 18.900 | 41 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 29.154 | 18.900 | 551 |
| 0 | ROBERT W LESSEUER | 10.635 | 18.900 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.861 | 18.900 | 507 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 24.273 | 18.900 | 458 |
| 0 | LILLIE MAE SMITH | 37.090 | 18.900 | 701 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|--------|------|
| | | ORDER | COST | PR |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | THEODORE I BALLARD | 4.286 | 18.900 | 81 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 14.566 | 18.900 | 275 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 37.090 | 18.900 | 701 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 11.201 | 18.900 | 211 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|-----------|---------------------------|--------|
| 0 | CAROL KAHN | 10.635 | 18.900 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 1,125.142 | 18.900 | 21,265 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 7.037 | 18.900 | 132 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 11.164 | 18.900 | 211 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 52.963 | 18.900 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 661.429 | 18.900 | 12,501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 6.741 | 18.900 | 127 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 7.990 | 18.900 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 331.985 | 18.900 | 6,274 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 52.910 | 18.900 | 1,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 3.622 | 18.900 | 68 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 21.164 | 18.900 | 400 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.873 | 18.900 | 300 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 79.418 | 18.900 | 1,501 |
| 0 | BERTRAND J FAY JR | 21.217 | 18.900 | 401 |
| 0 | PEGGY HANCOCK | 7.990 | 18.900 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 149.732 | 18.900 | 2,829 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 34.455 | 18.900 | 651 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|---------------------------|-------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 7.175 | 18.900 | 135 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 4.903 | 18.900 | 92 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 2.699 | 18.900 | 51 |
| 0 | MIN NATHAN | 10.635 | 18.900 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 64.292 | 18.900 | 1,215 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 52.963 | 18.900 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 31.835 | 18.900 | 601 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 13.281 | 18.900 | 251 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 48.630 | 18.900 | 919 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.926 | 18.900 | 301 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 40.763 | 18.900 | 770 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 264.603 | 18.900 | 5,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 108.051 | 18.900 | 2,042 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 29.121 | 18.900 | 550 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 31.799 | 18.900 | 601 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 16.505 | 18.900 | 311 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 39.736 | 18.900 | 751 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 37.090 | 18.900 | 701 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.635 | 18.900 | 201 |
| 0 | EVELYN G CRAIG & | 26.508 | 18.900 | 501 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|--------|------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 7.592 | 18.900 | 143 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.926 | 18.900 | 301 |
| 0 | JOHN M THRESS & | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.455 | 18.900 | 500 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 1.802 | 18.900 | 34 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 12.867 | 18.900 | 243 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.926 | 18.900 | 301 |
| 0 | ANN V ELMQUIST & | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.508 | 18.900 | 501 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|----------|---------------------------|-------|
| 0 | RALPH K B CLAY | 58.254 | 18.900 | 1,101 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.926 | 18.900 | 301 |
| 0 | ROBERT M SUGERMAN | 52.963 | 18.900 | 1,001 |
| 0 | STANLEY A MACBETH | 63.545 | 18.900 | 1,201 |
| 0 | KATHRYN LEE SYMINGTON | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 5.450 | 18.900 | 103 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 105.820 | 18.900 | 2,000 |
| 0 | LORRAINE J BARBER | 38.572 | 18.900 | 729 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 11.070 | 18.900 | 209 |
| 0 | J T MCNAMARA & | 211.693 | 18.900 | 4,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 138.878 | 18.900 | 2,624 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 132.328 | 18.900 | 2,501 |
| 0 | FREDERICK F FORDON TR U/A 2/4/92 | 70.423 | 18.900 | 1,331 |
| 0 | MONTCALM LACOMBE & | 26.508 | 18.900 | 501 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|---------------------------|-------|
| | | ORDER | COST | PR |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 13.958 | 18.900 | 263 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 64.497 | 18.900 | 1,219 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 9.668 | 18.900 | 182 |
| 0 | ROBERT T WRAY & | 42.381 | 18.900 | 801 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 79.418 | 18.900 | 1,501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 158.783 | 18.900 | 3,001 |
| 0 | WILLARD P FULLER JR TTEE | 21.217 | 18.900 | 401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 66.107 | 18.900 | 1,249 |
| 0 | YVONNE D HARVEY & | 21.217 | 18.900 | 401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 31.443 | 18.900 | 594 |
| 0 | SOPHIE CUTLER & | 26.508 | 18.900 | 501 |
| 0 | LOUIS CUTLER & | 26.508 | 18.900 | 501 |
| 0 | HORTENSE BERZOWSKI & | 111.164 | 18.900 | 2,101 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 31.799 | 18.900 | 601 |
| 0 | LEONARD F LA BELLE & | 42.381 | 18.900 | 801 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 111.981 | 18.900 | 2,116 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.508 | 18.900 | 501 |
| 0 | DOUGLAS C VEZINA TR UA 12/30/91 | 10.635 | 18.900 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.635 | 18.900 | 201 |
| 0 | FLORENCE K JONES | 4.021 | 18.900 | 76 |
| 0 | WILLIAM C MOYER & VIOLA J MCCREARY | 105.873 | 18.900 | 2,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 20.724 | 18.900 | 391 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 26.836 | 18.900 | 507 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|--------|-------|
| | | ORDER | COST | PR |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 39.736 | 18.900 | 751 |
| 0 | MARIE THERESE BERGMAN & | 7.990 | 18.900 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 519.119 | 18.900 | 9,811 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 269.600 | 18.900 | 5,095 |
| 0 | ALAN K L SCROGGIE & | 15.926 | 18.900 | 301 |
| 0 | HELEN T PAGE TR UA 06/18/96 | 9.312 | 18.900 | 176 |
| 0 | RIDLER W PAGE TR UA 06/18/96 | 17.249 | 18.900 | 326 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 74.127 | 18.900 | 1,401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 60.900 | 18.900 | 1,151 |
| 0 | BONNIE J JONES | 185.238 | 18.900 | 3,501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 13.122 | 18.900 | 248 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 37.090 | 18.900 | 701 |
| 0 | ROMAN C BERZOWSKI TTEE | 13.281 | 18.900 | 251 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|----------|---------------------------|-------|
| 0 | STATE STREET BANK AND TRUST CO CUST | 443.545 | 18.900 | 8,382 |
| 0 | ELAINE C LOWEKE TR UA 7-01-91 | 79.418 | 18.900 | 1,501 |
| 0 | ELSIE JO TRAWICK | 132.328 | 18.900 | 2,501 |
| 0 | DONALD E LAYTON | 10.635 | 18.900 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 87.868 | 18.900 | 1,660 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.635 | 18.900 | 201 |
| 0 | RAYMOND M PLEHN & | 10.635 | 18.900 | 201 |
| 0 | FW GOOCH TTEE | 52.963 | 18.900 | 1,001 |
| 0 | LEONARD F LA BELLE & | 34.445 | 18.900 | 651 |
| 0 | ROBERT H PENNOCK TR | 2.699 | 18.900 | 51 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|---------------------------|--------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | ROBERT B KIMSEY & | 10.635 | 18.900 | 201 |
| 0 | LEONORA FAY GARFINKEL & | 118.005 | 18.900 | 2,230 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 42.381 | 18.900 | 801 |
| 0 | JOHN R STERLING TOD ELIZABETH | 7.990 | 18.900 | 151 |
| 0 | CHARLES M MARSHALL | 13.281 | 18.900 | 251 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 57.140 | 18.900 | 1,079 |
| 0 | MARY E HOBACK | 47.672 | 18.900 | 901 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 47.672 | 18.900 | 901 |
| 0 | ARTHUR H DELANEY & | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 12.356 | 18.900 | 233 |
| 0 | FRANCIS T ROSENDALE TOD | 79.418 | 18.900 | 1,501 |
| 0 | GEORGE ADELEWITZ & | 7.990 | 18.900 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 52.963 | 18.900 | 1,001 |
| 0 | JOE STEPHEN BEEBE | 6.667 | 18.900 | 126 |
| 0 | RONALD B EDGERTON TTEE | 79.418 | 18.900 | 1,501 |
| 0 | LEVERT J ABLE | 105.873 | 18.900 | 2,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 2,314.355 | 18.900 | 43,741 |
| 0 | EDWARD D SCHULTZ TTEE | 10.635 | 18.900 | 201 |
| 0 | MABEL J BROWN TOD | 26.508 | 18.900 | 501 |
| 0 | NELL N RING & | 18.624 | 18.900 | 352 |
| 0 | STATE STREET BANK & TRUST CO CUST | 2.032 | 18.900 | 38 |
| 0 | SUSAN PINCHOT | 5.344 | 18.900 | 101 |
| 0 | TINA GIANAKIS | 79.418 | 18.900 | 1,501 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|---------------------------|---------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 39.736 | 18.900 | 751 |
| 0 | H WINFIELD RUSSELL TTEE | 15.926 | 18.900 | 301 |
| 0 | STATE STREET BANK & TRUST CO CUST | 10.078 | 18.900 | 190 |
| 0 | RUTH M LEVINE TTEE | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK & TRUST CO CUST | 838.223 | 18.900 | 15,842 |
| 0 | DOROTHY MAE STEINKAMP TTEE | 26.508 | 18.900 | 501 |
| 0 | STATE STREET BANK & TRUST CO CUST | 34.869 | 18.900 | 659 |
| | | ----- | | ----- |
| | PRICE DATE TOTALS | 23,911.180 | | 451,920 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|----------------------|--|--------|--------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | CLARA B BOYD | 858.676 | 18.730 | 16,083 |
| 0 | MYRON E GOLDBLATT JR | 1,000.000 | 18.730 | 18,730 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|-----------|---------------------------|--------|
| 0 | ROBERT D KERR | 170.277 | 18.730 | 3,189 |
| 0 | SYLVIA MITZNER | 202.267 | 18.730 | 3,788 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 4,475.490 | 18.730 | 83,825 |
| 0 | ROBERT E SERVICE & | 80.000 | 18.730 | 1,498 |
| 0 | JANET K BERNDT CUST | 143.915 | 18.730 | 2,695 |
| 0 | JANET K BERNDT CUST | 116.790 | 18.730 | 2,187 |
| 0 | WARREN E MARTIN | 254.319 | 18.730 | 4,763 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 213.000 | 18.730 | 3,989 |
| 0 | MARGARET JONES TYNER CUST | 283.075 | 18.730 | 5,302 |
| 0 | PHILLIP A SONNEMAN CUST | 28.110 | 18.730 | 526 |
| 0 | DAVID A KING | 130.587 | 18.730 | 2,445 |
| 0 | HANNAH WISEMAN CUST | 4.656 | 18.730 | 87 |
| 0 | JOHN S NEWELL CUST | 138.815 | 18.730 | 2,600 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 53.307 | 18.730 | 998 |
| 0 | DAVID VAUGHN & | 105.000 | 18.730 | 1,966 |
| 0 | JAMES R YOUNGBLOOD CUST | 695.000 | 18.730 | 13,017 |
| 0 | LINDA BAKER CUST | 650.000 | 18.730 | 12,174 |
| 0 | CAROL E BARWELL CUST | 150.000 | 18.730 | 2,809 |
| 0 | SARA A WOOLSTON & | 1,868.660 | 18.730 | 35,000 |
| 0 | KRISTEN E PETERSON | 10.440 | 18.730 | 195 |
| 0 | JOANNA BRAGDON CUST | 178.857 | 18.730 | 3,350 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|---------------------------|---------|
| | | ORDER | COST | PR |
| | | SHARES | PRICE | DOLL |
| 0 | REGISTRATION | | | |
| 0 | MABEL C CHAN | 212.203 | 18.730 | 3,974 |
| 0 | ELMER V ANDERSON TR UA 6/1/92 | 1,414.066 | 18.730 | 26,485 |
| 0 | ROBERT C PACE II | 139.873 | 18.730 | 2,619 |
| 0 | RONALD SCOTT ARNEIL | 533.903 | 18.730 | 10,000 |
| 0 | CHARLES W MOORE | 7.931 | 18.730 | 148 |
| 0 | ROBERT L HARPER CUST | 106.781 | 18.730 | 2,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 106.781 | 18.730 | 2,000 |
| 0 | JANE L CHILDERS | 864.000 | 18.730 | 16,182 |
| 0 | BERNARD FEDERGREEN & | 466.715 | 18.730 | 8,741 |
| 0 | BARBARA DELMHORST TTEE | 801.282 | 18.730 | 15,008 |
| 0 | HEATHER L JOHNSON | 90.479 | 18.730 | 1,694 |
| | | ----- | ----- | ----- |
| | PRICE DATE TOTALS | 16,555.255 | | 310,079 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|--------|--------|
| | | ORDER | COST | PR |
| | | SHARES | PRICE | DOLL |
| 0 | REGISTRATION | | | |
| 0 | RICHARD G HANKERSON & | 1,000.000 | 18.600 | 18,600 |
| 0 | KELLY BLUE EHRMANTRAUT | 21.505 | 18.600 | 400 |
| 0 | JOAN C FARGNOLI | 53.763 | 18.600 | 1,000 |
| 0 | CLAUDINE D SHAFER | 2,688.172 | 18.600 | 50,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 5.104 | 18.600 | 94 |
| 0 | DAVID T BALD CUST | 150.000 | 18.600 | 2,790 |
| 0 | EDWARD E LEVY JR DDS | 537.634 | 18.600 | 10,000 |
| 0 | CYNTHIA G JOHNSON CUST | 107.527 | 18.600 | 2,000 |
| 0 | ROBERT J BOULA | 70.000 | 18.600 | 1,302 |
| 0 | MILDRED B WILLMS CUST | 272.861 | 18.600 | 5,075 |
| 0 | DOROTHY ENGLAND CUST | 1,586.022 | 18.600 | 29,500 |
| 0 | MARILYN HANLEY BALD | 200.000 | 18.600 | 3,720 |
| 0 | SUSAN SHUTE SIEGRIST | 700.000 | 18.600 | 13,020 |
| 0 | RALPH M KIRK & | 116.271 | 18.600 | 2,162 |
| 0 | MICHAEL VOLPE AND | 100.000 | 18.600 | 1,860 |
| 0 | CAROLINE M SCHACK | 50.000 | 18.600 | 930 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|------------------------|-----------|---------------------------|--------|
| 0 | DAVID G BLAIR | 26.882 | 18.600 | 500 |
| 0 | MILDRED RUSCIOLELLI & | 1,854.886 | 18.600 | 34,500 |
| 0 | BOBBY FORD & | 322.581 | 18.600 | 6,000 |
| 0 | SCOTT N HARMON | 268.817 | 18.600 | 5,000 |
| 0 | SUZANNE SLESAR | 26.882 | 18.600 | 500 |
| 0 | JEANNIE M EVERSON CUST | 275.000 | 18.600 | 5,115 |
| 0 | ANDY AGUIRRE & | 172.829 | 18.600 | 3,214 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|------------------------|--|---------------------------|---------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | HEATH NOTLEY JR | 8.000 | 18.600 | 148 |
| 0 | ELIZABETH ANN BARNOSKY | 713.361 | 18.600 | 13,268 |
| 0 | ALINE PAULUS CUST | 966.112 | 18.600 | 17,969 |
| 0 | ALFRED OGUS & | 1,100.000 | 18.600 | 20,460 |
| 0 | PAUL A THAYER | 340.000 | 18.600 | 6,324 |
| 0 | MARGARET C COX CUST | 268.817 | 18.600 | 5,000 |
| 0 | MARGARET C COX CUST | 268.817 | 18.600 | 5,000 |
| 0 | MARGARET C COX CUST | 268.817 | 18.600 | 5,000 |
| 0 | WILLIAM H MCLAIN EXEC | 1,818.192 | 18.600 | 33,818 |
| 0 | THOMAS J PALAZZOLO | 32.258 | 18.600 | 600 |
| 0 | JANE L POTTER | 161.290 | 18.600 | 3,000 |
| 0 | JAY IRA KLAUSNER & | 913.978 | 18.600 | 17,000 |
| 0 | JOELLYN JACKSON EXEC | 370.750 | 18.600 | 6,895 |
| 0 | GENEVIEVE KEIMOWITZ | 134.409 | 18.600 | 2,500 |
| 0 | JOHN MARK TOMKO | 2.069 | 18.600 | 38 |
| 0 | MABEL ROSTANT & | 50.000 | 18.600 | 930 |
| 0 | JAMES H PARKE TTEE | 1,612.903 | 18.600 | 30,000 |
| 0 | AGNES PATRICIA STEVENS | 38.969 | 18.600 | 724 |
| 0 | DANIEL ELMER NELSON | 111.997 | 18.600 | 2,083 |
| | | ----- | | ----- |
| | PRICE DATE TOTALS | 19,787.475 | | 368,047 |
| 1 | PROCESS DATE | 01/31/02 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|--------|--------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | IMPERVIOUS PAINT INDUSTRIES LTD | 431.932 | 18.570 | 8,020 |
| 0 | MARTIN MAXWELL SNAPP | 129.857 | 18.570 | 2,411 |
| 0 | EARL MAAS | 67.318 | 18.570 | 1,250 |
| 0 | EARL WENZEL MAAS | 27.477 | 18.570 | 510 |
| 0 | DOUGLAS L LEMLE | 17.240 | 18.570 | 320 |
| 0 | MARY LOUISE DYER | 179.387 | 18.570 | 3,331 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 673.129 | 18.570 | 12,500 |
| 0 | ANNE DEVLIN | 53.850 | 18.570 | 1,000 |
| 0 | THOMAS K GARRISON | 88.262 | 18.570 | 1,639 |
| 0 | WILLIAM M CRYAN CUST | 538.503 | 18.570 | 10,000 |
| 0 | ROBIN KEYES BECHTEL CUST | 151.000 | 18.570 | 2,804 |
| 0 | BRUCE SILVEY SCHAFFER CUST | 130.000 | 18.570 | 2,414 |
| 0 | BRUCE SILVEY SCHAFFER CUST | 100.000 | 18.570 | 1,857 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 154.769 | 18.570 | 2,874 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 51.137 | 18.570 | 949 |
| 0 | LISA G WEBB | 171.870 | 18.570 | 3,191 |
| 0 | MYRL ROSE HINCKLEY | 29.582 | 18.570 | 549 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 2,111.781 | 18.570 | 39,215 |
| 0 | JAMIE L BERRIER | 53.850 | 18.570 | 1,000 |
| 0 | DIANE B LEVY | 5.514 | 18.570 | 102 |
| 0 | NICHOLAS B FEHRENBACH TR U/A 8-9-91 | 9.000 | 18.570 | 167 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 200.000 | 18.570 | 3,714 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

0 MICHAEL J HURLEY 40.000 18.570 742
 1 PROCESS DATE 01/31/02 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|---------------------------------|--|--------|-----------|
| | | ORDER | COST | PR |
| | | SHARES | PRICE | DOLL |
| 0 | REGISTRATION | | | |
| 0 | BRETT ROBERT PEARSONS | 300.000 | 18.570 | 5,571 |
| 0 | HOWARD G BALLENGEE | 100.000 | 18.570 | 1,857 |
| 0 | MIRIAM SCAVULLO & | 41.055 | 18.570 | 762 |
| 0 | JAMES I BARBIER & | 60.181 | 18.570 | 1,117 |
| 0 | JANICE PATRICIA KEISLER & | 200.000 | 18.570 | 3,714 |
| 0 | JAMES J POPE TR UA 09/10/70 | 1,690.322 | 18.570 | 31,389 |
| 0 | KIRSTEN P ERNST WOLFE & | 208.838 | 18.570 | 3,878 |
| 0 | CAROL J KENNEDY | 19.540 | 18.570 | 362 |
| 0 | VICTOR SCAVULLO | 125.768 | 18.570 | 2,335 |
| 0 | SARAH M BRNA | 32.310 | 18.570 | 600 |
| 0 | LOGAN J SMITH | 215.401 | 18.570 | 4,000 |
| 0 | MARY PORTER COLLINS | 5.896 | 18.570 | 109 |
| 0 | ERIKA E COWDELL CUST | 31.000 | 18.570 | 575 |
| 0 | WILLIAM M CRYAN & | 1,077.006 | 18.570 | 20,000 |
| 0 | PATRICIA A ERNST TTEE | 700.054 | 18.570 | 13,000 |
| 0 | EPWORTH UNITED METHODIST CHURCH | 270.270 | 18.570 | 5,018 |
| 0 | CLAUDIA L GORDON PER REP | 264.073 | 18.570 | 4,903 |
| 0 | DONALD A BERG & SHIRLEY | 1,282.736 | 18.570 | 23,820 |
| 0 | EDGAR L MCGINNIS | 1,355.121 | 18.570 | 25,164 |
| | | ----- | | ----- |
| | PRICE DATE TOTALS | 13,395.029 | | 248,745 |
| 0 | | ----- | | ----- |
| 0 | MONTH-END TOTALS | 152,962.958 | | 2,876,108 |
| | | ===== | | ===== |